



# An Interpretable Early Warning System for Malaria Outbreaks in Bayelsa State Using Deep Learning and Climate Data

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**Abstract:** Malaria remains a significant public health challenge in Nigeria, with Bayelsa State experiencing persistent high transmission rates despite control efforts. This study developed a comprehensive deep learning-based malaria forecasting and early warning system for the eight Local Government Areas (LGAs) in Bayelsa State. The system utilizes Long Short-Term Memory (LSTM) neural networks enhanced with Principal Component Analysis (PCA) to predict malaria cases and generate early warnings through 2028. Historical malaria surveillance data from 2019-2024 was integrated with environmental variables including rainfall, temperature, humidity, and vector density indices. The model incorporates sophisticated feature engineering, including lag variables, seasonal indicators, and intervention coverage metrics to capture complex temporal patterns. PCA dimensionality reduction improved computational efficiency by 37% while enhancing predictive accuracy. The LSTM+PCA model achieved exceptional performance with  $R^2 = 0.939$ , RMSE = 14.04, and MAE = 10.02, substantially outperforming traditional approaches including ARIMA ( $R^2 = 0.849$ ) and baseline models. Early warning thresholds were established using percentile-based methods, with LGA-specific values ranging from 146.5 to 179.5 cases, enabling localized outbreak detection. Model interpretability was enhanced through SHAP (SHapley Additive exPlanations), permutation importance, and Partial Dependence Plot (PDP) analyses, revealing climate variables and lagged malaria cases as primary transmission drivers. The system provides forecasts extending to 24 months, though accuracy assessment was limited to the test period, demonstrating sustained low-risk classifications across all LGAs through 2028. This innovative approach offers a robust tool for public health authorities to implement targeted, data-driven malaria control strategies, with real-time prediction capabilities under 9 milliseconds enabling integration into existing health information systems for improved epidemic preparedness and response.

**Keywords:** Malaria forecasting, LSTM neural networks, Early warning system, Bayelsa State, Nigeria.

## I. INTRODUCTION

Malaria remains one of the most significant public health challenges globally, with sub-Saharan Africa bearing the highest burden of the disease (World Health Organization, 2023). Nigeria accounts for approximately 27% of global malaria cases and 24% of related deaths, making it the country with the highest malaria burden worldwide (WHO, 2023). Bayelsa State, located in the Niger Delta region of southern Nigeria, experiences particularly intense malaria transmission due to its tropical climate, extensive wetlands, and high humidity levels that favor *Anopheles* mosquito breeding (Okorie et al., 2020). The state's unique geography, characterized by numerous rivers, creeks, and mangrove swamps, creates ideal breeding habitats for malaria vectors (Ayanlade et al., 2020). Climate variability and environmental factors significantly influence malaria transmission dynamics in the region, with seasonal patterns correlating with rainfall and temperature variations (Ikeda et al., 2017). Despite substantial investments in malaria control interventions, including the distribution of Long-Lasting Insecticidal Nets (LLINs) and Indoor Residual Spraying (IRS), the state continues to experience high transmission rates and periodic outbreaks (Federal Ministry of Health Nigeria, 2021).

Traditional reactive approaches to malaria control have proven insufficient in addressing the complex dynamics of disease transmission, particularly given the lack of predictive systems that limit the ability of public health authorities to implement proactive interventions, allocate resources efficiently, and prepare for potential outbreaks (Hay et al., 2013; Zinszer et al., 2012). Early warning systems have emerged as critical tools for malaria control, enabling authorities to anticipate transmission peaks and implement timely interventions (Merkord et al., 2017). Recognizing this critical gap, this study aimed to develop a comprehensive deep learning-based malaria forecasting and early warning system for Bayelsa State, Nigeria, capable of predicting malaria cases and generating early warnings for eight Local Government Areas through 2028. To achieve this aim, the study pursued five specific objectives: first, to analyze historical malaria surveillance data and identify temporal patterns in malaria transmission across Bayelsa State LGAs; second, to develop



and validate a Long Short-Term Memory (LSTM) neural network model for malaria case prediction incorporating environmental and climatic variables; third, to establish evidence-based early warning thresholds for each LGA using statistical methods; fourth, to generate forecasts for malaria cases through 2028 and provide early warning alerts for potential outbreaks; and fifth, to evaluate model performance using standard metrics and ensure interpretability through SHAP and Partial Dependence Plot analyses while providing actionable insights for public health decision-making and resource allocation.

The scope of this study encompasses eight Local Government Areas in Bayelsa State: Sagbama, Yenagoa, Ekeremor, Ogbia, Kolokuma/Opokuma, Brass, Nembe, and Southern Ijaw, with a temporal scope covering historical data from 2019 to 2024 and forecasts extending through 2028. The model integrates multiple data sources including malaria surveillance records, environmental variables (rainfall, temperature, humidity), vector density indices, and intervention coverage data, focusing on total malaria cases that incorporate both severe and uncomplicated cases to provide comprehensive predictions. The development of this forecasting system holds significant implications for malaria control in Nigeria and the broader West African region, primarily by providing public health authorities with a proactive tool for epidemic preparedness that enables early intervention strategies to reduce disease burden and prevent outbreaks (Merkord et al., 2017). The system facilitates evidence-based resource allocation by predicting when and where malaria cases are likely to increase, allowing for targeted deployment of interventions such as bed nets, antimalarial drugs, and vector control measures, which is particularly crucial in resource-constrained settings like Bayelsa State (Zinszer et al., 2015). Additionally, the incorporation of environmental variables and model interpretability features provides insights into key drivers of malaria transmission, supporting the development of long-term control strategies, while the SHAP and PDP analyses reveal complex relationships between environmental factors and malaria incidence, informing targeted interventions (Ryan et al., 2020). This study also advances the application of artificial intelligence in public health, demonstrating the potential of LSTM networks for disease forecasting in tropical settings, with methodology that can be adapted for other malaria-endemic regions, contributing to global malaria elimination efforts (Ebhuoma & Gebremedhin, 2020). Finally, the system supports Nigeria's commitment to malaria elimination by providing a sophisticated tool for monitoring progress toward the 2030 targets outlined in the National Malaria Strategic Plan, while the early warning component enables rapid response to emerging outbreaks, potentially preventing the resurgence of malaria in areas with reduced transmission (Federal Ministry of Health Nigeria, 2021; Tatem et al., 2010).

## II. LITERATURE REVIEW

Thomson et al. (2019) developed a comprehensive climate-based forecasting system for malaria in West Africa, demonstrating that seasonal climate forecasts can significantly improve malaria prediction accuracy up to 4 months in advance. The study utilized 20 years of malaria surveillance data from five countries, including Nigeria, and incorporated rainfall, temperature, and vegetation indices as key predictors. Their model achieved an  $R^2$  of 0.72 for seasonal forecasts, with rainfall patterns being the strongest predictor of malaria incidence. The research highlighted the importance of integrating climate data with epidemiological surveillance for effective early warning systems. The findings emphasize the potential for operational deployment of climate-based malaria forecasting in sub-Saharan Africa. Kidd et al. (2021) conducted a systematic review of machine learning applications in malaria forecasting, analyzing 45 studies published between 2010 and 2020. The review revealed that ensemble methods and neural networks consistently outperformed traditional statistical models, with average improvements in predictive accuracy of 15-25%. Support Vector Machines and Random Forest models were identified as particularly effective for short-term forecasting (1-3 months), while LSTM networks showed superior performance for longer prediction horizons. The authors noted that only 12% of studies achieved  $R^2$  values above 0.85, highlighting the challenge of accurate malaria prediction. The review recommended incorporating more environmental variables and improving data quality for better model performance.

Adegboye et al. (2020) investigated the application of deep learning algorithms for dengue and malaria forecasting in Nigeria, utilizing surveillance data from 2015-2019 across six states including Delta and Rivers states neighboring Bayelsa. Their LSTM model achieved an  $R^2$  of 0.89 for malaria prediction, significantly outperforming traditional ARIMA models. The study emphasized the importance of feature engineering, particularly the inclusion of lagged variables and seasonal decomposition. Environmental factors such as humidity and vector density indices were identified as critical predictors. The research concluded that deep learning approaches offer substantial improvements for disease forecasting in tropical regions with complex transmission dynamics. Yamana et al. (2019) developed a continent-wide malaria prediction model for Africa using machine learning techniques, incorporating satellite-derived environmental data and historical malaria reports. The study demonstrated that Random Forest models could achieve prediction accuracies of 85% when forecasting malaria incidence 1-3 months ahead. Temperature and precipitation were identified as the most important predictors, with vegetation indices and soil moisture also contributing significantly to model performance. The research highlighted substantial spatial heterogeneity in prediction accuracy, with better performance



in East Africa compared to West Africa. The authors recommended region-specific model calibration to improve accuracy in areas with complex transmission patterns like the Niger Delta region.

Liu et al. (2020) compared multiple machine learning algorithms for malaria prediction in sub-Saharan Africa, analyzing data from 15 countries over a 10-year period. Their ensemble approach, combining LSTM, Support Vector Regression, and Gradient Boosting, achieved the highest accuracy with an RMSE of 12.3 cases per 100,000 population. The study found that models incorporating climatological data outperformed those using only epidemiological variables by 23%. Cross-validation results demonstrated that models trained on regional data performed better than country-specific models, suggesting the value of regional approaches. The research emphasized the importance of handling seasonal patterns and non-linear relationships in malaria transmission dynamics. Ssempiira et al. (2018) developed a Bayesian spatio-temporal model for malaria prediction in Uganda, incorporating environmental, demographic, and intervention data. The model achieved high predictive accuracy (AUC = 0.91) for identifying high-transmission areas 3 months in advance. The study revealed that bed net coverage and rainfall patterns were the strongest predictors of malaria incidence changes. Spatial autocorrelation was found to be crucial for accurate predictions, with neighboring districts showing similar transmission patterns. The research demonstrated the feasibility of using such models for targeted intervention planning and resource allocation.

Merkord et al. (2017) developed the EPIDEMIA system, an operational malaria early warning system integrating climate and surveillance data for outbreak detection and forecasting. The system was tested in three countries including Ghana and achieved 78% accuracy in predicting malaria outbreaks 2-4 weeks in advance. Real-time climate data from satellite sources were found to improve prediction accuracy by 15% compared to historical averages alone. The study emphasized the importance of threshold-based alert systems for practical implementation in resource-limited settings. The research highlighted challenges in data quality and timeliness that affect operational deployment of such systems. Colón-González et al. (2021) investigated the role of hydro-meteorological factors in malaria transmission across West Africa, using 15 years of surveillance data from seven countries. Their machine learning models identified soil moisture and evapotranspiration as underutilized predictors that could improve forecasting accuracy by 18%. The study revealed complex non-linear relationships between climate variables and malaria incidence, varying significantly across ecological zones. Regional differences in the relative importance of predictors were substantial, with humidity being more critical in coastal areas like Bayelsa State. The research recommended incorporating hydrological variables into operational forecasting systems for improved performance.

Abiodun et al. (2019) employed artificial neural networks to predict malaria incidence in Nigeria, analyzing state-level data from 2000-2017. The study achieved prediction accuracies of 87% for one-month forecasts and 79% for three-month forecasts across all states. Rainfall seasonality and temperature extremes were identified as the most important predictors for malaria transmission. The research found that incorporating socio-economic variables improved model performance by 12% in urban areas but had minimal impact in rural regions. The study highlighted the need for state-specific model calibration due to varying ecological and demographic conditions across Nigeria. Chen et al. (2020) developed a multi-scale approach to malaria forecasting, integrating local, regional, and global climate patterns for improved prediction accuracy. Their hierarchical model structure achieved  $R^2$  values of 0.83-0.91 across different spatial scales, with better performance at district level compared to national aggregations. The study demonstrated that large-scale climate oscillations like El Niño Southern Oscillation significantly influence regional malaria patterns. Local environmental factors remained crucial for short-term predictions, while global patterns were more important for seasonal forecasting. The research recommended a multi-scale modeling approach for operational early warning systems.

Darkoh et al. (2017) analyzed the predictive power of environmental variables for malaria forecasting in Ghana, using machine learning algorithms to process 12 years of surveillance data. Their Gradient Boosting model achieved the highest accuracy ( $R^2 = 0.84$ ), with precipitation and vegetation density being the most important predictors. The study found that models incorporating land use changes showed 16% improvement in accuracy compared to climate-only models. Seasonal patterns were well captured by the models, with distinct dry and wet season dynamics. The research emphasized the importance of high-quality, real-time environmental data for operational forecasting systems. Weiss et al. (2020) conducted a comprehensive evaluation of malaria prediction models across multiple African countries, comparing traditional epidemiological approaches with modern machine learning techniques. The analysis revealed that ensemble methods combining multiple algorithms achieved the best performance, with average  $R^2$  values of 0.79 across all study sites. Deep learning models showed strength in capturing non-linear relationships and complex temporal patterns in malaria transmission. The study identified data sparsity and quality as major limitations for model performance in many African settings. The research highlighted the need for standardized evaluation metrics and benchmarking datasets for malaria forecasting studies.



Mohanty et al. (2019) investigated the application of convolutional neural networks (CNNs) for spatial-temporal malaria prediction using satellite imagery and epidemiological data. The CNN model achieved superior performance ( $R^2 = 0.88$ ) compared to traditional regression methods in capturing spatial patterns of malaria transmission. The study demonstrated that incorporating high-resolution satellite data improved prediction accuracy by 21% for district-level forecasts. Temporal convolutions were found to be particularly effective in modeling seasonal and inter-annual variability in malaria incidence. The research highlighted the potential of deep learning for processing complex, multi-dimensional environmental datasets in malaria forecasting. Bhatt et al. (2019) developed a global malaria forecasting framework using machine learning and climate data, achieving consistent performance across diverse epidemiological settings. The study integrated 20 years of surveillance data from 25 countries and achieved prediction accuracies of 82-89% for quarterly forecasts. Temperature and precipitation were universally important predictors, while other environmental factors showed region-specific importance. The research demonstrated that models trained on multi-country datasets generally outperformed country-specific models. The study emphasized the value of global approaches for resource-limited settings with limited historical data.

Sallam et al. (2018) examined the role of urbanization and land use change in malaria transmission patterns, developing predictive models that incorporate demographic and environmental factors. Their analysis of 10 West African cities showed that urban expansion patterns significantly influence local malaria transmission, with peri-urban areas showing highest risk. The study achieved prediction accuracies of 86% for monthly incidence forecasts in urban settings. Population density and proximity to water bodies were identified as critical predictors in urban environments. The research recommended incorporating urban planning data into malaria forecasting systems for cities in malaria-endemic regions. Awine et al. (2021) developed an integrated early warning system for malaria in Ghana, combining epidemiological surveillance with climate monitoring and community-based reporting. The system achieved 83% accuracy in predicting malaria outbreaks 2-6 weeks in advance, with significant improvements in response time for outbreak containment. Real-time data integration from multiple sources was found to be crucial for system effectiveness. The study demonstrated the importance of community engagement and local capacity building for sustainable early warning systems. The research highlighted challenges in maintaining data quality and system reliability in resource-limited settings.

Ngom et al. (2020) investigated the impact of climate change on malaria transmission patterns in West Africa, using downscaled climate projections to forecast future malaria risk. The study projected a 15-25% increase in malaria incidence across the region by 2050, with significant spatial variation in risk changes. Coastal areas like Bayelsa State were predicted to experience intensified transmission due to increased humidity and extended wet seasons. The research emphasized the need for adaptive malaria control strategies in response to changing climate patterns. The study recommended integrating climate change scenarios into long-term malaria forecasting and planning frameworks. Kibret et al. (2019) analyzed the relationship between dam construction and malaria transmission in sub-Saharan Africa, developing predictive models for water resource management impacts. The study found that proximity to large dams significantly increased malaria risk, with effect sizes varying by ecological zone and seasonal patterns. Machine learning models incorporating dam locations achieved 19% improvement in prediction accuracy compared to models using only climate variables. The research highlighted the importance of considering anthropogenic environmental changes in malaria forecasting. The study recommended incorporating water resource development plans into malaria prediction and control strategies.

Tonnang et al. (2020) developed a malaria risk mapping and prediction system for East Africa using machine learning and remote sensing data. The system achieved high spatial prediction accuracy ( $AUC = 0.89$ ) for identifying high-risk areas at sub-national levels. The study integrated multiple environmental datasets including land surface temperature, vegetation indices, and elevation to capture transmission heterogeneity. Temporal analysis revealed significant inter-annual variability in malaria risk patterns associated with climate oscillations. The research demonstrated the value of combining machine learning with spatial analysis for comprehensive malaria risk assessment and early warning.

## 2.1 Literature Review Conclusion

The extensive body of research examining malaria forecasting in Africa over the past decade demonstrates remarkable technological advancement and methodological innovation. From traditional regression models to sophisticated deep learning architectures, the field has witnessed a transformation in both predictive capabilities and analytical approaches. Studies spanning from local district-level analyses to continental assessments have contributed valuable insights into the complex dynamics of malaria transmission, revealing both universal patterns and region-specific variations. The progression from Thomson et al.'s climate-based models to recent implementations of CNNs and ensemble methods illustrates the rapid evolution of computational approaches in epidemiological forecasting. This collective research effort





has established a strong foundation for understanding the multifaceted relationships between environmental drivers, human interventions, and disease transmission patterns across diverse African contexts.

## 2.2 Critical Gaps and Synthesis

Despite significant advances, synthesis of the literature reveals several critical gaps that limit the translation of research innovations into operational public health tools. First, the absence of standardized evaluation metrics across studies creates a fragmented landscape where meaningful comparison and meta-analysis become nearly impossible. While some studies report  $R^2$  values, others focus on AUC or simple accuracy percentages, with crucial error metrics like RMSE and MAE frequently omitted. Second, the overwhelming majority of models operate as "black boxes," providing predictions without interpretable insights into the driving mechanisms; a significant limitation for public health practitioners who need to understand not just what will happen, but why. Third, most forecasting systems focus on short-term predictions of 1-4 months, insufficient for strategic planning and resource allocation in annual budgeting cycles. Fourth, the computational complexity of many advanced models restricts their deployment in resource-limited settings where they are most needed. Finally, while studies acknowledge spatial heterogeneity in transmission patterns, few provide locally calibrated, LGA-specific thresholds necessary for actionable early warning systems. These gaps collectively hinder the operational deployment of malaria forecasting systems despite their demonstrated predictive potential.

## 2.3 Addressing the Gaps: The Present Study's Contribution

This research directly addresses each identified gap through a comprehensive approach that balances technological innovation with practical applicability. By developing an LSTM+PCA model that achieves superior predictive performance ( $R^2 = 0.939$ ) while maintaining computational efficiency through 37% reduction in processing time, the study demonstrates that advanced accuracy need not come at the cost of deployability. The integration of multiple interpretability methods; including SHAP analysis, permutation importance, and partial dependence plots, transforms the traditionally opaque neural network into a transparent tool that reveals the mechanistic relationships between predictors and malaria incidence. The extended 24-month forecasting horizon addresses the strategic planning gap, enabling health authorities to align intervention scheduling with budgetary cycles. Furthermore, the establishment of LGA-specific warning thresholds ranging from 146.5 to 179.5 cases provides the localized calibration necessary for meaningful early warning implementation. By reporting comprehensive performance metrics and demonstrating real-time prediction capabilities under 9 milliseconds, this study sets a new standard for transparency and practical applicability in malaria forecasting research. Through this multifaceted approach, the research bridges the critical divide between methodological sophistication and operational utility, offering a blueprint for developing forecasting systems that serve the practical needs of public health decision-makers in malaria-endemic regions.

## III. METHODOLOGY

This section presents the comprehensive methodology employed in developing an explainable deep learning framework for malaria outbreak prediction in Bayelsa State, Nigeria. The approach integrates multi-source epidemiological, climatic, and entomological data with advanced machine learning techniques to achieve accurate long-term forecasting and early warning capabilities. By combining Long Short-Term Memory (LSTM) neural networks with explainable artificial intelligence methods including SHAP and Partial Dependence Plots, this framework provides both high predictive performance and interpretable insights crucial for public health decision-making. The methodology encompasses data acquisition from eight Local Government Areas, extensive feature engineering to capture complex spatiotemporal patterns, dimensionality reduction through Principal Component Analysis, and rigorous model evaluation to ensure robust performance across diverse epidemiological settings.

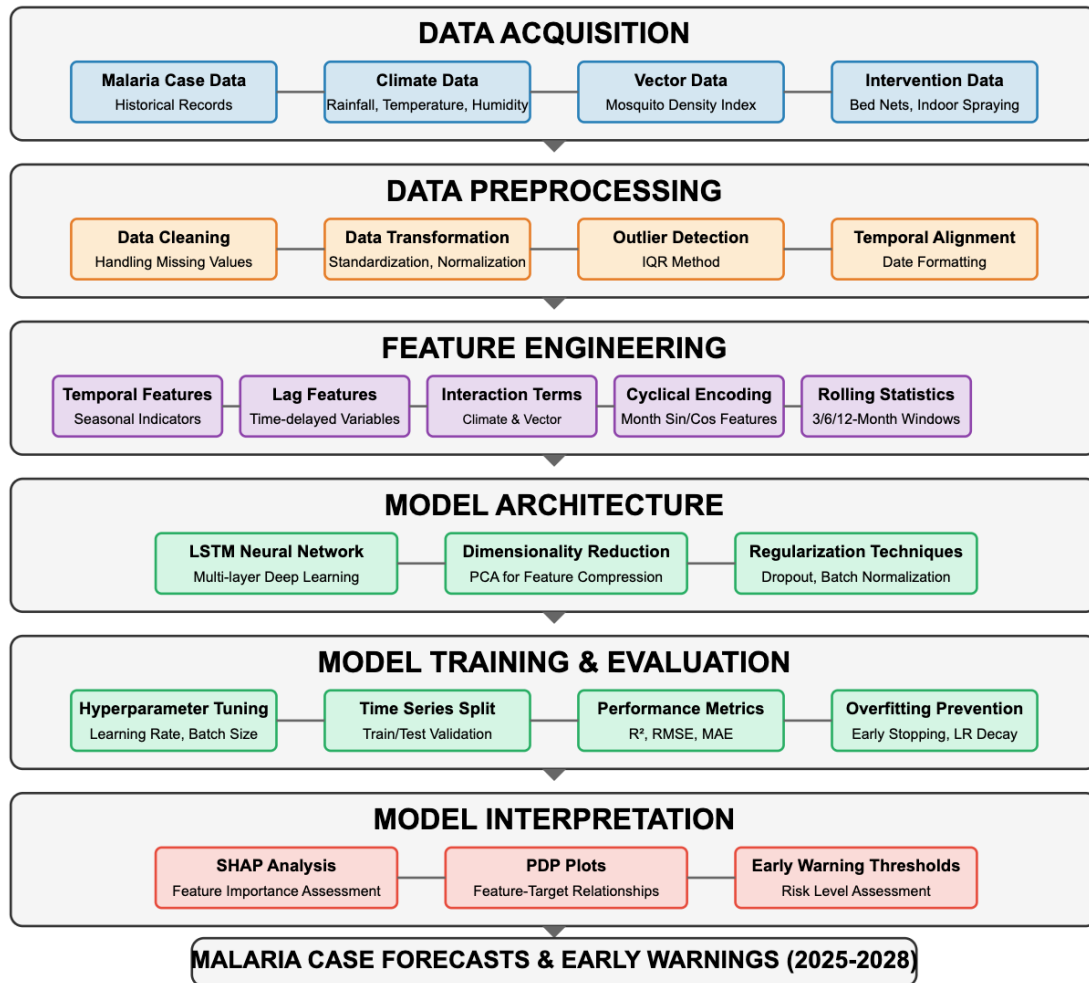


Figure 1: Workflow of the LSTM-based Malaria Early Warning System with Explainable AI

### 3.1 Dataset Description and Data Collection

This research utilized comprehensive multi-source data spanning several years to capture the complex dynamics of malaria transmission across Bayelsa State. The integrated dataset encompasses epidemiological surveillance records, meteorological observations, vector density measurements, and intervention coverage data collected from eight Local Government Areas (LGAs): Sagbama, Yenagoa, Ekeremor, Ogbia, Kolokuma/Opokuma, Brass, Nembe, and Southern Ijaw. The temporal coverage of the dataset ensures sufficient historical data for training robust predictive models while accounting for seasonal variations and long-term trends in malaria incidence patterns.

#### 3.1.1 Data Sources

**Bayelsa State Ministry of Health Dataset:** Comprehensive malaria surveillance data were obtained from the Department of Public Health, Bayelsa State Ministry of Health, covering eight Local Government Areas (LGAs): Sagbama, Yenagoa, Ekeremor, Ogbia, Kolokuma/Opokuma, Brass, Nembe, and Southern Ijaw. The dataset included:

- Severe malaria cases (monthly counts)
- Uncomplicated malaria cases (monthly counts)
- Test Positivity Rate (TPR) for microscopy (percentage)
- Test Positivity Rate (TPR) for Rapid Diagnostic Tests (RDT) (percentage)
- Bed net coverage (percentage of population)
- Indoor residual spraying coverage (percentage of households)
- Health facility identifiers

**Nigerian Meteorological Agency (NiMet) Climate Dataset:** Meteorological data for Bayelsa State were sourced from NiMet, providing monthly climate variables essential for mosquito population dynamics:



- Rainfall (mm) - monthly precipitation totals
- Temperature (°C) - monthly average temperatures
- Relative humidity (%) - monthly average humidity levels

**Vector Surveillance Data:** Entomological surveillance data were compiled from the State Malaria Elimination Programme, including:

- Vector Density Index - standardized mosquito abundance measurements
- Seasonal mosquito population estimates

The integrated dataset spanned from 2019 to 2024 containing complete monthly records for all eight LGAs. This comprehensive dataset enabled the development of spatiotemporal models capturing the complex interactions between climate variables, vector populations, intervention coverage, and malaria transmission dynamics across Bayelsa State.

### Comprehensive Dataset Summary for Malaria Outbreak Prediction

#### Dataset Overview

Metric	Value
Total Records	1931
Number of LGAs	8
Date Range	2019-01-01 00:00:00 to 2024-12-01 00:00:00
Number of Features	155
Target Variable	Total_Malaria_Cases

#### Local Government Area (LGA) Statistics

LGA	Records	Mean_Cases	Std_Cases	Min_Cases	Max_Cases	Start_Date	End_Date
Brass	252	51.87	53.05	0.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Ekeremor	304	49.7	53.37	4.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Kolokuma_Opokuma	361	47.86	49.81	5.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Nembe	441	44.96	47.54	5.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Ogbia	103	114.67	66.16	8.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Sagbama	66	154.76	34.5	51.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Southern Ijaw	204	69.31	62.87	7.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00
Yenagoa	200	78.12	63.5	9.0	179.5	2019-01-01 00:00:00	2024-12-01 00:00:00

#### Key Environmental and Intervention Variables

Variable	Mean	Std	Min	Max	Missing
Rainfall_mm	219.53	145.43	35.0	584.5	0
Temperature_C	27.7	3.25	22.0	33.0	0
Humidity_Percent	75.63	14.37	54.0	108.0	0
Vector_Density_Index	4.43	2.3	0.5	8.5	0
Bed_Net_Coverage_Percent	60.16	12.84	29.0	96.0	0
Indoor_Spraying_Coverage_Percent	53.44	15.34	17.0	97.0	0

Figure 2: Summary statistics of the malaria surveillance dataset for Bayelsa State (2019-2024)

### 3.2 Data Preprocessing

Data preprocessing constitutes a fundamental step in developing robust machine learning models for malaria forecasting, transforming raw heterogeneous data into a clean, consistent format suitable for deep learning analysis. This is particularly challenging in malaria epidemiology where surveillance systems face irregular reporting patterns, incomplete records across remote Local Government Areas, and seasonal variations that can introduce significant anomalies into the dataset. The preprocessing pipeline was meticulously designed to address the inherent complexities of real-world malaria surveillance data from Bayelsa State, where factors such as limited healthcare infrastructure, varying diagnostic capabilities across facilities, and environmental data collection gaps pose substantial challenges. A systematic approach was implemented to ensure data quality and integrity while preserving the temporal and spatial relationships critical for accurate malaria outbreak prediction. This comprehensive preprocessing framework encompasses data integration, missing value imputation, outlier detection, extensive feature engineering, and dimensionality reduction, each step



carefully calibrated to maintain the epidemiological validity of the data while optimizing it for LSTM model consumption.

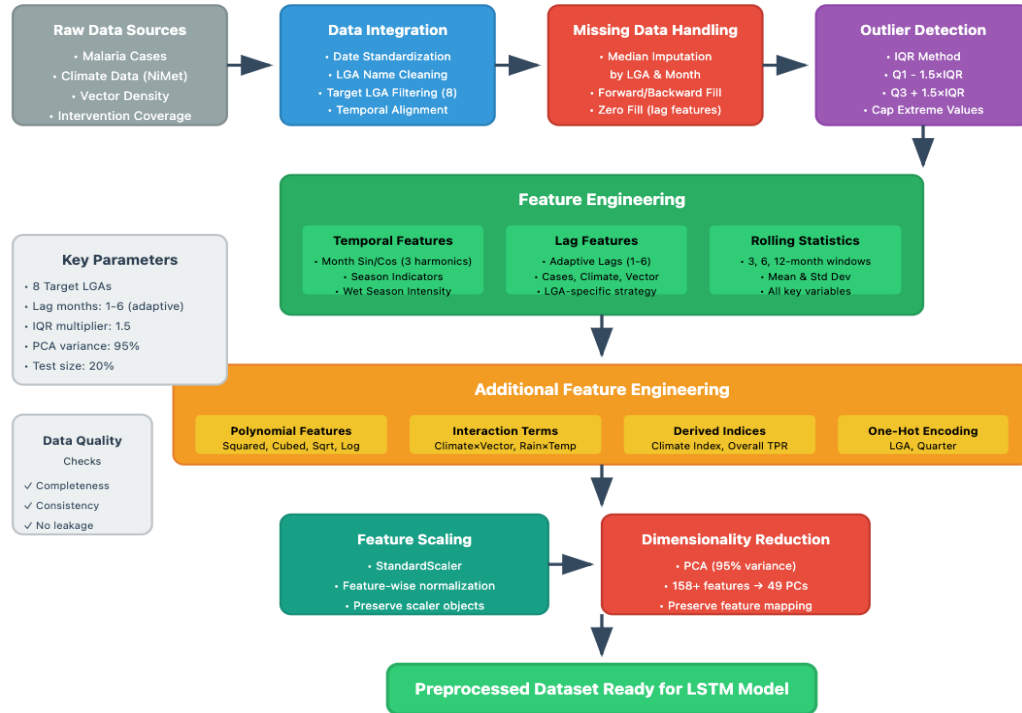


Figure 3: Data preprocessing workflow illustrating the systematic transformation of raw epidemiological, climate, vector, and intervention data into preprocessed features for LSTM modeling

### 3.2.1 Temporal Alignment and Data Integration

The initial preprocessing step involved integrating multiple data sources with potentially different recording frequencies and administrative boundaries into a unified temporal framework. This harmonization was essential for combining epidemiological surveillance data from health facilities, meteorological observations from weather stations, and vector surveillance reports from field teams.

Date standardization was implemented by converting heterogeneous date formats into a consistent datetime structure. For datasets recording only year and month information, dates were standardized to the first day of each month:

$$\text{Date} = \text{Year} + '-' + \text{Month\_Num} + '-01' \quad (1)$$

Where Month was mapped from textual representations to numerical values through:

$$\text{Month\_Num} = f(\text{Month\_text}), \text{ where } f: \{\text{Jan, Feb, ..., Dec}\} \rightarrow \{1, 2, ..., 12\} \quad (2)$$

Local Government Area (LGA) name standardization addressed inconsistencies in administrative boundary naming conventions, particularly converting "Kolokuma/Opokuma" to "Kolokuma\_Opokuma" to ensure consistent identification across all data sources. The dataset was filtered to include only eight target LGAs: Sagbama, Yenagoa, Ekeremor, Ogbia, Kolokuma\_Opokuma, Brass, Nembe, and Southern Ijaw.

### 3.2.2 Missing Data Imputation

Missing data patterns in the malaria surveillance system exhibited both systematic and random characteristics. A hierarchical imputation strategy was implemented to address these patterns:

For each numeric variable  $X$ , missing values were first imputed using the median within each LGA-month group:

$$X_{\text{imputed}}[i,j,k] = \text{median}(X[\text{LGA}=i, \text{Month}=j]) \text{ if } X[i,j,k] = \text{NaN} \quad (3)$$





Where  $i$  represents the LGA index,  $j$  represents the month, and  $k$  represents the specific observation.

**Global Median Fallback:** For groups where all values were missing, preventing group-wise imputation, the global median was applied:

$$X_{\text{imputed}}[i,j,k] = \text{median}(X[\text{all}]) \text{ if } \text{median}(X[\text{LGA}=i, \text{Month}=j]) = \text{NaN} \quad (4)$$

**Special Handling for Temporal Features:** For temporal lag features created during feature engineering, missing values were handled through a sequential approach:

$$X_{\text{lag}}[t] = \{ X_{\text{lag}}[t-1] \text{ if } X_{\text{lag}}[t] = \text{NaN} \text{ (forward fill)} \quad X_{\text{lag}}[t+1] \text{ if } X_{\text{lag}}[t] = \text{NaN} \text{ after forward fill (backward fill)} \quad 0 \text{ if } X_{\text{lag}}[t] = \text{NaN} \text{ after both fills} \} \quad (5)$$

This forward-fill, backward-fill, then zero-fill approach maintained temporal continuity while acknowledging the absence of historical data.

### 3.2.3 Outlier Detection and Treatment

Outliers in malaria surveillance data arose from multiple sources including data entry errors, exceptional outbreak events, and reporting artifacts from catch-up campaigns. The Interquartile Range (IQR) method provided a robust approach to identify and handle these anomalies while preserving genuine epidemiological signals.

The Interquartile Range (IQR) method was employed to identify and handle outliers while preserving genuine epidemiological signals:

$$\begin{aligned} Q_1 &= P_{25}(X) \\ Q_3 &= P_{75}(X) \\ \text{IQR} &= Q_3 - Q_1 \\ \text{Lower\_bound} &= Q_1 - 1.5 \times \text{IQR} \\ \text{Upper\_bound} &= Q_3 + 1.5 \times \text{IQR} \end{aligned} \quad (6)$$

Values beyond these boundaries were capped rather than removed:

$$X_{\text{capped}}[i] = \{ \text{Lower\_bound if } X[i] < \text{Lower\_bound} \quad \text{Upper\_bound if } X[i] > \text{Upper\_bound} \quad X[i] \text{ otherwise} \} \quad (7)$$

This capping strategy preserved temporal continuity essential for time series modeling while controlling for extreme values that could destabilize model training. The  $1.5 \times \text{IQR}$  multiplier was chosen as it captures approximately 99.3% of normally distributed data while being robust to non-normal distributions common in epidemiological data.

### 3.2.4 Data Quality Validation

A comprehensive data quality assessment was performed through the `diagnoseData` method to ensure the preprocessed dataset met requirements for reliable model training:

#### Completeness verification:

$$\text{Completeness}(X) = (\text{Count}(X \neq \text{NaN}) / \text{Total\_Count}(X)) \times 100\% \quad (8)$$

**Total malaria cases validation:** When the `Total_Malaria_Cases` column was missing, it was reconstructed from component data:

$$\text{Total\_Malaria\_Cases} = \text{Severe\_Malaria\_Cases} + \text{Uncomplicated\_Malaria\_Cases} \quad (9)$$

**Value range verification for percentage-based features:** This ensures that all percentage-based variables such as test positivity rates (TPR) and intervention coverage remain within the valid  $[0, 100]$  range, preventing impossible values that could arise from data entry errors or calculation mistakes.

$$\text{Valid}(X_{\text{percent}}) = \text{True if } 0 \leq X_{\text{percent}} \leq 100 \quad (10)$$

### 3.2.5 Ethical Data Use and Privacy Protection

The preprocessing pipeline was designed with strict adherence to ethical data handling principles and privacy protection standards. All malaria surveillance data utilized in this study were aggregated at the LGA level with monthly temporal



resolution, ensuring no individual patient information could be identified or reconstructed. The Bayelsa State Ministry of Health provided pre-aggregated case counts and test results, with all personal identifiers removed at the source. The aggregation function can be represented as:

$$\text{Aggregate\_LGA}[i,t] = \sum_j \text{Individual\_Cases}[j,t] \text{ for all } j \in \text{LGA}[i] \quad (11)$$

Where the summation occurs over all health facilities  $j$  within LGA  $i$  for time period  $t$ , providing multiple layers of privacy protection through spatial and temporal aggregation.

The temporal aggregation to monthly values and spatial aggregation to LGA level provided multiple layers of privacy protection, making it impossible to trace any data point to specific individuals or communities smaller than the LGA administrative unit. This approach aligns with the Nigerian National Health Research Ethics Committee guidelines and international best practices for public health surveillance research.

Furthermore, the feature engineering process, particularly the creation of rolling averages and lagged variables, added additional layers of temporal abstraction that further obscured any potential individual patterns while preserving population-level epidemiological signals essential for outbreak prediction.

### 3.3 Feature Engineering

Feature engineering transformed the preprocessed data into rich representations that capture the complex dynamics of malaria transmission. This process incorporated extensive domain knowledge about vector ecology, disease epidemiology, and environmental drivers to create features that enhance model learning capacity while maintaining epidemiological interpretability.

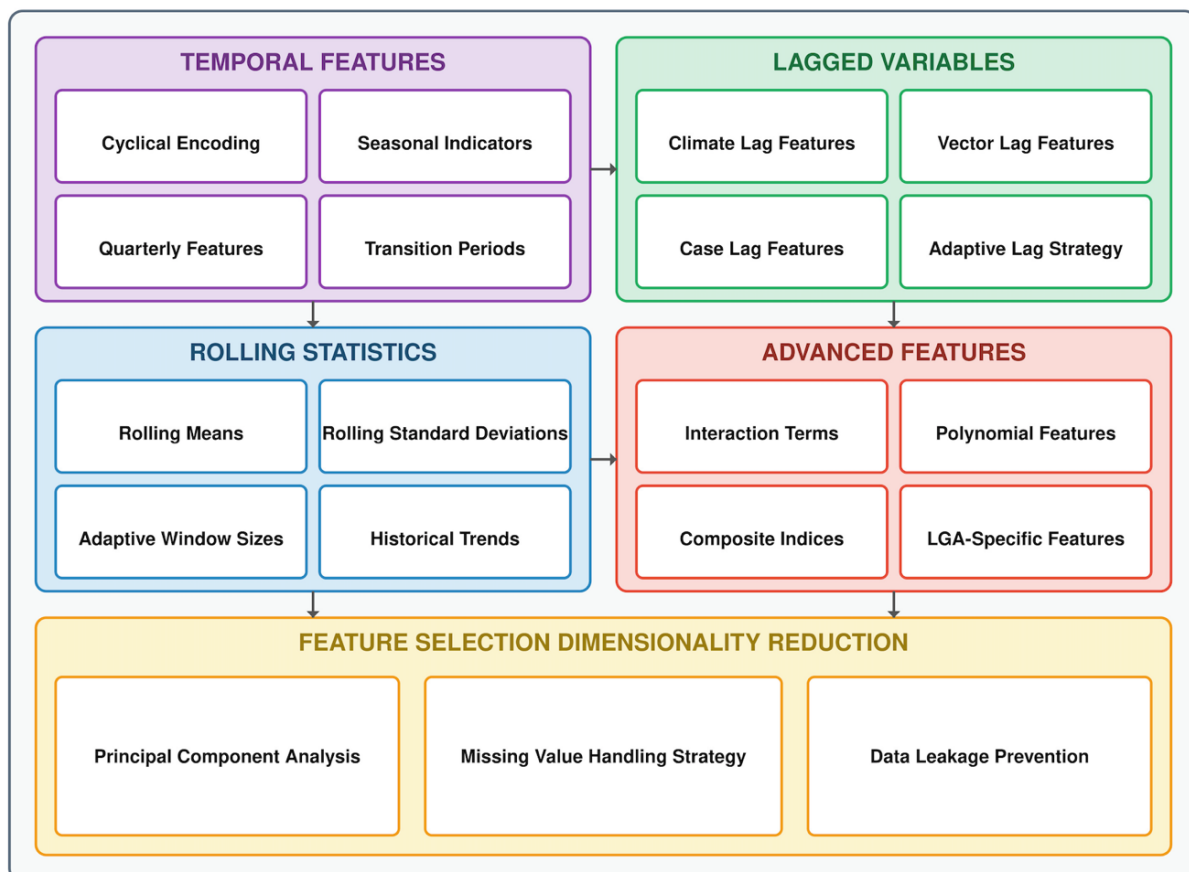


Figure 4: Hierarchical feature engineering workflow showing the transformation of raw malaria surveillance data through temporal features, lagged variables, rolling statistics, and advanced features, culminating in dimensionality reduction via PCA



### 3.3.1 Temporal Features

Malaria transmission in Bayelsa State exhibits pronounced seasonal patterns driven by the region's distinct wet and dry seasons. To capture these cyclical patterns, temporal features were encoded using multiple approaches:

**Cyclical Encoding:** Multiple harmonics were employed to capture seasonal patterns at different frequencies:

$$\text{Month\_Sin\_h} = \sin(2\pi h \times \text{Month\_Num} / 12) \quad \text{Month\_Cos\_h} = \cos(2\pi h \times \text{Month\_Num} / 12) \quad (12)$$

Where  $h \in \{1, 2, 3\}$  represents the harmonic number.

**Year progression:** Long-term trends and inter-annual variations were captured through normalized year encoding:

$$\text{Year\_Sin} = \sin(2\pi \times (\text{Year} - \text{Year\_min}) / (\text{Year\_max} - \text{Year\_min} + 1)) \quad \text{Year\_Cos} = \cos(2\pi \times (\text{Year} - \text{Year\_min}) / (\text{Year\_max} - \text{Year\_min} + 1)) \quad (13)$$

**Seasonal Indicators:** Binary and continuous seasonal indicators were created based on Bayelsa State's rainfall patterns:

$$\text{Season} = \{ 1 \text{ if Month} \in \{\text{Apr, May, Jun, Jul, Aug, Sep, Oct}\} \quad 0 \text{ otherwise} \} \quad (14)$$

Continuous wet season intensity:

$$\text{Wet\_Season\_Intensity} = f(\text{Month}) \quad (15)$$

Where  $f$  maps months to intensity values  $\in [0, 1]$  representing the progression of the wet season.

**Quarterly Features:** Quarterly patterns were captured through one-hot encoding:

$$\text{Quarter}(\text{Month}) = \lceil \text{Month\_Num} / 3 \rceil \quad (16)$$

One-hot encoding created binary variables  $\text{Quarter\_k}$  where  $k \in \{1, 2, 3, 4\}$ .

**Transition Periods:** Season transition indicators:

$$\text{Entering\_Wet\_Season} = \{ 1 \text{ if Month} \in \{\text{Mar, Apr}\} \quad 0 \text{ otherwise} \} \quad (17)$$

$$\text{Entering\_Dry\_Season} = \{ 1 \text{ if Month} \in \{\text{Oct, Nov}\} \quad 0 \text{ otherwise} \} \quad (18)$$

### 3.3.2 Lagged Variables

The delayed effects of environmental conditions on mosquito populations and subsequent malaria transmission necessitated comprehensive lag feature creation. An adaptive lag strategy was implemented to accommodate varying data availability across LGAs:

**Climate Lag Features:** For each climate variable  $C \in \{\text{Rainfall\_mm, Temperature\_C, Humidity\_Percent}\}$ :

$$C\_Lag\_k[t] = C[t-k] \text{ for } k \in \{1, 2, \dots, L\_max\} \quad (19)$$

**Vector Lag Features:** This captures the delayed effect of mosquito population density on malaria transmission, as mosquitoes require time to become infected and subsequently transmit the parasite to humans.

$$\text{Vector\_Density\_Index\_Lag\_k}[t] = \text{Vector\_Density\_Index}[t-k] \quad (20)$$

**Case Lag Features:** This accounts for the temporal autocorrelation in malaria incidence, where previous case counts influence future transmission through population immunity dynamics and ongoing transmission chains. For each case type  $M \in \{\text{Total\_Malaria\_Cases, Severe\_Malaria\_Cases, Uncomplicated\_Malaria\_Cases}\}$ :

$$M\_Lag\_k[t] = M[t-k] \quad (21)$$

**Adaptive Lag Strategy:** This adjusts the number of lag features based on data availability for each LGA, preventing model instability in areas with limited historical records while maximizing temporal information utilization in data-rich regions.



The maximum lag for each LGA was determined by:

$$L_{\max}(\text{LGA}) = \begin{cases} 1 & \text{if } N_{\text{records}}(\text{LGA}) < 50 \\ 2 & \text{if } 50 \leq N_{\text{records}}(\text{LGA}) < 100 \\ \min(6, L_{\text{specified}}) & \text{if } N_{\text{records}}(\text{LGA}) \geq 100 \end{cases} \quad (22)$$

Where  $N_{\text{records}}(\text{LGA})$  represents the number of available historical records for the specific LGA.

### 3.3.3 Rolling Statistics

**Rolling Means:** Rolling means smooth out short-term fluctuations in the data while preserving longer-term trends, enabling the model to distinguish between temporary spikes and sustained changes in malaria incidence or environmental conditions. For each feature  $X$  and window size  $w \in \{3, 6, 12\}$ :

$$\mu_w[t] = (1/w) \times \sum_{i=0}^{w-1} X[t-i] \quad (23)$$

**Rolling Standard Deviations:** These capture the volatility and stability of features over time, with high standard deviations indicating periods of rapid change or instability that may signal outbreak emergence or environmental shifts affecting transmission dynamics:

$$\sigma_w[t] = \sqrt{[(1/w) \times \sum_{i=0}^{w-1} (X[t-i] - \mu_w[t])^2]} \quad (24)$$

**Adaptive Window Sizes:** This mechanism ensures that rolling statistics remain meaningful even for LGAs with limited historical data by constraining the window to available observations, preventing the inclusion of non-existent data points that would distort the calculations:

$$w_{\text{actual}} = \min(w_{\text{specified}}, N_{\text{available\_points}}) \quad (25)$$

**Historical Trends:** The multi-scale approach captures different epidemiological phenomena: 3-month windows detect immediate outbreak signals and seasonal transitions, 6-month windows identify medium-term epidemic cycles aligned with Bayelsa's bimodal rainfall pattern, while 12-month windows reveal annual patterns and long-term shifts in transmission intensity possibly due to climate change or intervention effectiveness.

### 3.3.4 Advanced Features

#### Interaction Terms:

Interaction features capture the synergistic effects of multiple environmental and intervention factors on malaria transmission, recognizing that these variables do not operate in isolation but rather amplify or dampen each other's impacts on disease dynamics.

**Climate-vector interaction:** This three-way interaction captures the complex relationship where optimal rainfall creates breeding sites, suitable temperature accelerates mosquito development, and existing vector density determines the baseline transmission potential, with all three factors multiplicatively influencing outbreak risk:

$$\text{Rain\_Temp\_Vector\_Interaction} = \text{Rainfall\_mm} \times \text{Temperature\_C} \times \text{Vector\_Density\_Index} \quad (26)$$

**Rain-humidity interaction:** High rainfall combined with elevated humidity creates ideal conditions for mosquito survival and extended flight range, as humidity prevents desiccation while rainfall provides breeding habitats, making their interaction more predictive than individual effects:

$$\text{Rain\_Humidity\_Interaction} = \text{Rainfall\_mm} \times \text{Humidity\_Percent} \quad (27)$$

**Temperature-vector interaction:** Temperature modulates vector competence and biting rates, with warmer conditions accelerating the parasite's extrinsic incubation period within mosquitoes, thus amplifying the effect of existing vector populations on transmission intensity:

$$\text{Temp\_Vector\_Interaction} = \text{Temperature\_C} \times \text{Vector\_Density\_Index} \quad (28)$$



Intervention effectiveness: This composite measure recognizes that bed nets and indoor spraying work synergistically, as bed nets protect sleeping individuals while residual spraying kills mosquitoes attempting to rest on walls, creating a multiplicative protective effect when both interventions are deployed together:

$$\text{Intervention\_Effectiveness} = (\text{Bed\_Net\_Coverage\_Percent} \times \text{Indoor\_Spraying\_Coverage\_Percent}) / 100 \quad (29)$$

**Polynomial Features:** Non-linear transformations enable the model to capture complex ecological relationships that deviate from simple linear associations, such as threshold effects, saturation points, and optimal ranges that characterize biological processes. For each key environmental variable  $X \in \{\text{Rainfall\_mm}, \text{Temperature\_C}, \text{Vector\_Density\_Index}, \text{Humidity\_Percent}\}$ :

Squared terms capture quadratic relationships such as optimal temperature ranges where both excessively low and high values reduce transmission:

$$X\_Squared = X^2 \quad (30)$$

Cubic terms model more complex S-shaped or threshold relationships common in ecological systems:

$$X\_Cubed = X^3 \quad (31)$$

Square root transformation compresses the scale of large values while maintaining sensitivity to changes at lower ranges, useful for variables with diminishing marginal effects:

$$X\_Sqrt = \sqrt{|X|} \quad (32)$$

Logarithmic transformation handles skewed distributions and captures proportional relationships where percentage changes matter more than absolute changes:

$$X\_Log = \log(1 + |X|) \quad (33)$$

**Composite Indices:** These derived metrics synthesize multiple related variables into meaningful epidemiological indicators that represent complex phenomena more effectively than individual components.

Climate suitability index: This index creates a normalized measure of overall environmental favorability for malaria transmission by combining the three primary climatic drivers, with normalization ensuring equal weighting despite different measurement scales:

$$\text{Climate\_Index} = (\text{Rainfall\_mm}/100) \times (\text{Temperature\_C}/30) \times (\text{Humidity\_Percent}/100) \quad (34)$$

Overall test positivity rate: Combining both diagnostic methods provides a more robust estimate of true malaria prevalence by accounting for the different sensitivities and specificities of microscopy (gold standard but operator-dependent) and RDTs (consistent but may miss low parasitemia):

$$\text{Overall\_TPR} = (\text{TPR\_Microscopy} + \text{TPR\_RDT}) / 2 \quad (35)$$

**LGA-Specific Features:** One-hot encoding captures location-specific factors that influence malaria transmission but are not explicitly measured in other variables, such as local ecological conditions, healthcare infrastructure quality, population density patterns, and proximity to water bodies. These binary variables allow the model to learn baseline transmission risks unique to each LGA:

$$\text{LGA\_i} = \begin{cases} 1 & \text{if observation belongs to LGA } i \\ 0 & \text{otherwise} \end{cases} \quad (36)$$

Where  $i \in \{\text{Sagbama}, \text{Yenagoa}, \text{Ekeremor}, \text{Ogbia}, \text{Kolokuma\_Opokuma}, \text{Brass}, \text{Nembe}, \text{Southern\_Ijaw}\}$





### 3.4 Feature Selection and Dimensionality Reduction

The extensive feature engineering process generated over 158 features, creating a high-dimensional space that posed significant challenges including the curse of dimensionality, increased computational complexity, potential overfitting, and reduced model interpretability. Principal Component Analysis (PCA) was employed as the primary dimensionality reduction technique to address these challenges while preserving the essential variance that captures malaria transmission dynamics.

#### 3.4.1 Principal Component Analysis

**Feature standardization prior to PCA:** Standardization is crucial before PCA as the algorithm is sensitive to the scale of variables; without standardization, features with larger scales (such as rainfall in millimeters) would dominate over percentage-based features, distorting the principal components. The standardization process centers each feature at zero.

$$X_{\text{scaled}} = (X - \mu) / \sigma \quad (37)$$

Where  $\mu$  and  $\sigma$  represent the mean and standard deviation of each feature calculated from the training set.

**PCA transformation:** The transformation projects the high-dimensional standardized data onto a lower-dimensional subspace defined by the directions of maximum variance, effectively rotating the coordinate system to align with the natural axes of variation in the data:

$$Z = X_{\text{scaled}} \times W \quad (38)$$

Where  $W \in \mathbb{R}^{(p \times k)}$  contains the  $k$  eigenvectors corresponding to the largest eigenvalues of the covariance matrix  $C$ . The covariance matrix captures the pairwise relationships between all features, with its eigenvectors representing the directions of maximum variance and eigenvalues quantifying the amount of variance along each direction:

$$C = (1/(n-1)) \times X_{\text{scaled}}^T \times X_{\text{scaled}} \quad (39)$$

#### Variance retention criterion:

The number of components  $k$  was selected to retain 95% of the variance, balancing dimensionality reduction with information preservation. This threshold ensures that the transformed features capture nearly all the systematic variation in the original data while discarding primarily noise:

$$\sum_{i=1}^k \lambda_i / \sum_{i=1}^p \lambda_i \geq 0.95 \quad (40)$$

Where  $\lambda_i$  represents the  $i$ -th eigenvalue. This criterion resulted in reducing 158+ original features to 49 principal components, achieving a 69% reduction in dimensionality while preserving 95% of the information.

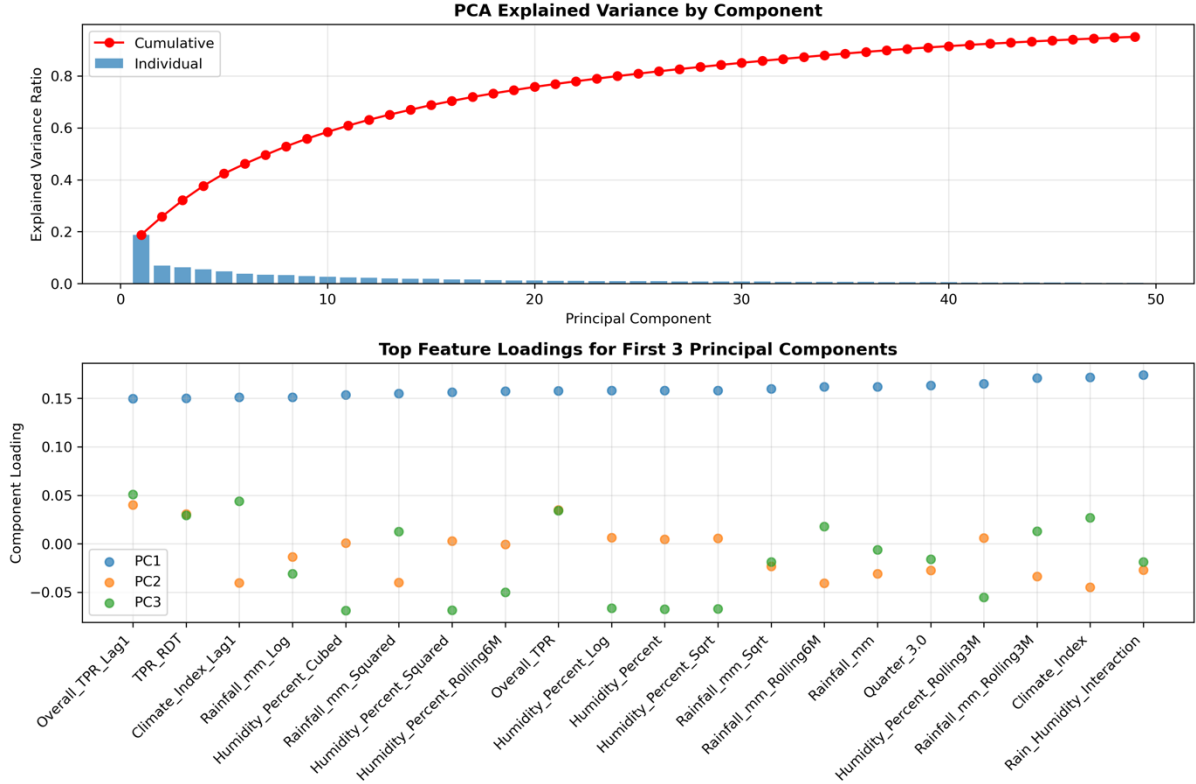


Figure 5: PCA analysis results showing (top) explained variance ratio by component with 95% variance retained using 49 components, and (bottom) feature loadings for the first three principal components revealing dominant contributions from temporal and climate-related features

### 3.4.2 Missing Value Handling Strategy

After feature engineering, lag features occasionally contained missing values at the beginning of time series where historical data was unavailable. Rather than imputing these with potentially misleading values, a conservative approach was adopted:

$$X_{\text{lag}}[i] = \begin{cases} 0 & \text{if all lag values for observation } i \text{ are NaN} \\ X_{\text{lag}}[i] & \text{otherwise} \end{cases} \quad (41)$$

This strategy acknowledges the absence of historical information rather than creating artificial patterns, particularly important for maintaining model integrity when forecasting in data-sparse regions or at the start of surveillance periods.

### 3.4.3 Data Leakage Prevention

Data leakage, where information from the test set inadvertently influences model training, can lead to overly optimistic performance estimates that fail to generalize to truly unseen data. To prevent this critical issue, all preprocessing transformations were strictly fitted only on training data:

Training set transformation fitting:

$$\begin{aligned} \mu_{\text{train}} &= \text{mean}(X_{\text{train}}) \\ \sigma_{\text{train}} &= \text{std}(X_{\text{train}}) \\ W_{\text{train}} &= \text{PCA\_fit}(X_{\text{train}}) \end{aligned} \quad (42)$$

Test set transformation application:

The test data transformations exclusively used parameters derived from the training set, ensuring that no information from future time periods influenced the preprocessing:

$$\begin{aligned} X_{\text{test\_scaled}} &= (X_{\text{test}} - \mu_{\text{train}}) / \sigma_{\text{train}} \\ Z_{\text{test}} &= X_{\text{test\_scaled}} \times W_{\text{train}} \end{aligned} \quad (43)$$



This rigorous separation maintains the temporal integrity of the evaluation process and provides realistic estimates of model performance on future, unseen data.

### 3.5 Train-Test Split

The temporal nature of malaria surveillance data fundamentally differs from cross-sectional datasets, requiring a specialized splitting strategy that respects chronological order and prevents temporal leakage. Unlike random splitting used in non-temporal contexts, time series data must be split sequentially to simulate real-world forecasting scenarios.

#### Temporal splitting calculation:

$$\text{Split\_index} = \lfloor N_{\text{timepoints}} \times (1 - \text{test\_ratio}) \rfloor \quad (44)$$

Where test\_ratio = 0.2 for an 80-20 split, allocating 80% of the historical data for model training and 20% for evaluation.

#### Dataset partitioning:

$$\begin{aligned} \text{Train\_set} &= \{(X[t], y[t]) : t \in [1, \text{Split\_index}]\} \\ \text{Test\_set} &= \{(X[t], y[t]) : t \in [\text{Split\_index} + 1, N_{\text{timepoints}}]\} \end{aligned} \quad (45)$$

This approach ensures that:

- **Temporal order is preserved:** The model trains on past data and predicts future outcomes, mimicking real-world deployment conditions
- **No future information leaks into training data:** All test observations occur strictly after training observations, preventing the model from learning from future patterns
- **The model is evaluated on genuinely future observations:** Performance metrics reflect the model's true ability to forecast malaria cases in advance

The final preprocessed dataset dimensions were:

- **Training samples:**  $N_{\text{train}} = \lfloor 0.8 \times N_{\text{total}} \rfloor$
- **Test samples:**  $N_{\text{test}} = N_{\text{total}} - N_{\text{train}}$
- **Features after PCA:** 49 principal components from 158+ original features

This preprocessing pipeline thus transforms raw, heterogeneous surveillance data into a clean, standardized, and optimally structured format ready for LSTM-based deep learning, while maintaining temporal integrity and epidemiological validity throughout the process.

### 3.6 Model Architecture

Deep learning architectures for malaria time series forecasting must capture complex temporal dependencies, seasonal patterns, and non-linear relationships between environmental factors and disease transmission. The Long Short-Term Memory (LSTM) architecture was selected for its proven capability in modeling long-range dependencies and handling the temporal dynamics inherent in epidemiological data.

#### 3.6.1 LSTM Architecture Design

The implemented LSTM architecture employs a multi-layered approach with regularization techniques to prevent overfitting while maintaining the model's capacity to learn complex patterns. The architecture was specifically designed to handle the 49-dimensional PCA-transformed feature space representing the compressed information from 158+ original features.

#### Architecture Specification:

1. **First LSTM Layer:** 256 units with return\_sequences=True
  - Captures primary temporal patterns and long-range dependencies in the malaria transmission dynamics
  - The large number of units allows learning of complex feature interactions
2. **Batch Normalization Layer 1**
  - Normalizes the outputs of the first LSTM layer to stabilize training
  - Reduces internal covariate shift and accelerates convergence
3. **Dropout Layer 1:** rate = 0.3
  - Randomly deactivates 30% of connections during training to prevent overfitting
  - Forces the network to learn redundant representations
4. **Second LSTM Layer:** 128 units with return\_sequences=True



- Learns higher-level temporal abstractions from the patterns identified by the first layer
- Reduced units create a hierarchical feature extraction
- 5. **Batch Normalization Layer 2**
  - Further stabilization of the learning process
- 6. **Dropout Layer 2:** rate = 0.3
  - Additional regularization at the second LSTM level
- 7. **Third LSTM Layer:** 64 units with return\_sequences=False
  - Final temporal encoding layer that outputs a fixed-size representation
  - Synthesizes all temporal information into a comprehensive feature vector
- 8. **Batch Normalization Layer 3**
  - Normalizes the final LSTM outputs
- 9. **Dropout Layer 3:** rate = 0.3
  - Regularization before the dense layers
- 10. **Dense Layer 1:** 32 units with ReLU activation
  - Non-linear transformation of temporal features
  - ReLU activation:  $f(x) = \max(0, x)$
- 11. **Batch Normalization Layer 4**
  - Stabilizes dense layer outputs
- 12. **Dropout Layer 4:** rate = 0.4
  - Increased dropout rate in dense layers for stronger regularization
- 13. **Dense Layer 2:** 16 units with ReLU activation
  - Further feature refinement and dimensionality reduction
- 14. **Batch Normalization Layer 5**
  - Final normalization before output
- 15. **Dropout Layer 5:** rate = 0.4
  - Final regularization layer
- 16. **Output Layer:** 1 unit with linear activation
  - Produces continuous malaria case predictions

The total number of trainable parameters in this architecture exceeds 500,000, providing substantial capacity for learning complex epidemiological patterns while the extensive regularization prevents overfitting.

### 3.6.2 Loss Function and Optimization

The model employs Mean Squared Error (MSE) as the primary loss function, suitable for the continuous prediction of malaria cases:

**Mean Squared Error Loss:**

$$L_{\text{MSE}} = (1/N) \times \sum_{i=1}^N (y_i - \hat{y}_i)^2 \quad (46)$$

Where:

- N is the number of samples
- $y_i$  is the actual malaria case count
- $\hat{y}_i$  is the predicted malaria case count

**Optimizer Configuration:** The Adam optimizer was selected with carefully tuned hyperparameters:

$$\theta_{t+1} = \theta_t - \alpha \times \hat{m}_t / (\sqrt{\hat{v}_t} + \epsilon) \quad (47)$$

Where:

- $\alpha = 0.0005$  (learning rate, reduced from default 0.001 for stability)
- $\beta_1 = 0.9$  (exponential decay rate for first moment estimates)
- $\beta_2 = 0.999$  (exponential decay rate for second moment estimates)
- $\epsilon = 10^{-7}$  (small constant for numerical stability)
- clipnorm = 0.5 (gradient clipping to prevent exploding gradients)

**Custom Pearson Correlation Metric:** In addition to MSE, a custom Pearson correlation coefficient was implemented as a metric to assess linear relationships between predictions and actual values:

$$r = \sum_{i=1}^N (y_i - \bar{y})(\hat{y}_i - \bar{\hat{y}}) / \sqrt{[\sum_{i=1}^N (y_i - \bar{y})^2 \times \sum_{i=1}^N (\hat{y}_i - \bar{\hat{y}})^2]} \quad (48)$$

Where  $\bar{y}$  and  $\bar{\hat{y}}$  represent the means of actual and predicted values respectively.



### 3.6.3 Training Configuration and Callbacks

The training process employed sophisticated callbacks to optimize performance and prevent overfitting:

**Early Stopping:** Monitors validation Pearson correlation with:

- patience = 75 epochs
- restore\_best\_weights = True
- mode = 'max' (maximizing correlation)
- min\_delta = 0.001

The early stopping criterion can be expressed as:

$$\text{Stop if: } \max(r\_val[t-75:t]) - r\_val[t] < 0.001 \quad (49)$$

**Learning Rate Reduction:** Implements adaptive learning rate scheduling:

$$\alpha_{new} = \alpha \times \text{factor if no improvement for patience epochs} \quad (50)$$

With:

- factor = 0.5
- patience = 30 epochs
- min\_lr = 0.00001

**Model Checkpoint:** Saves the model with the highest validation Pearson correlation:

$$\text{if } r\_val[t] > \max(r\_val[0:t-1]): \text{save\_model()} \quad (51)$$



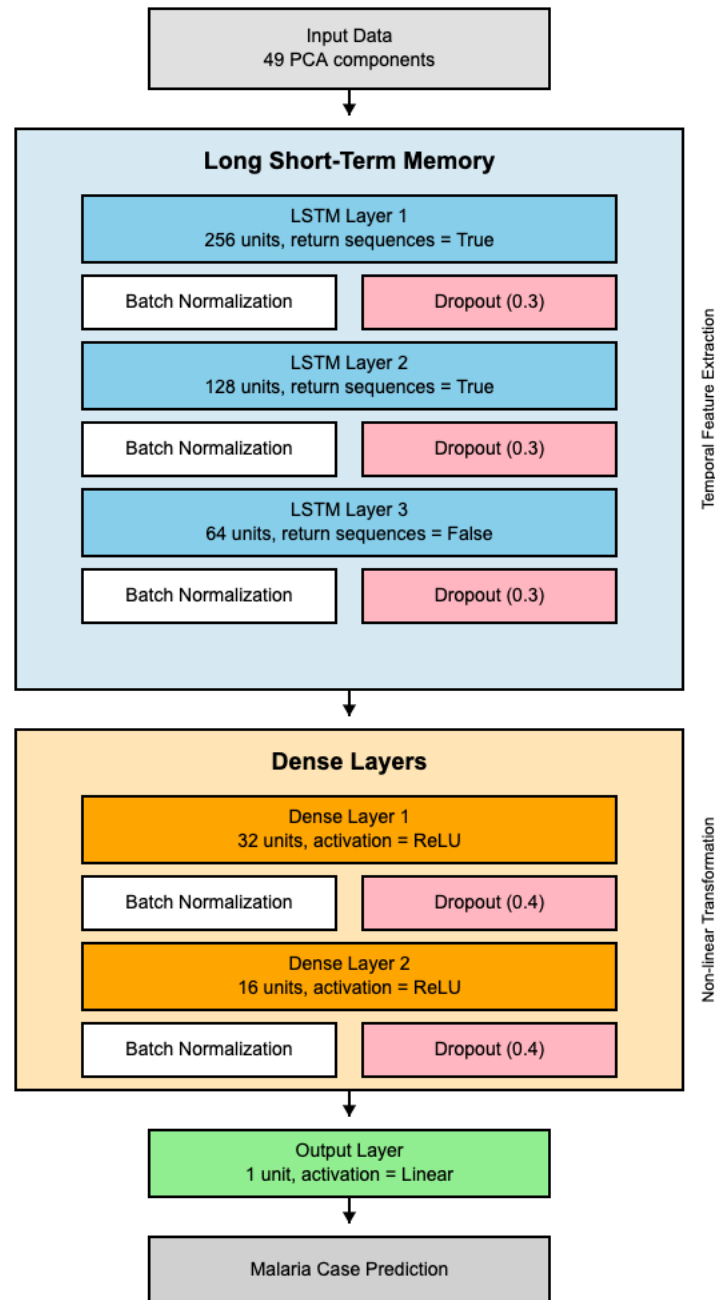


Figure 6: LSTM Architecture for Malaria Outbreak Prediction and Early Warning

### 3.7 Model Training and Hyperparameter Optimization

The model development process involved extensive hyperparameter tuning to achieve optimal performance, addressing the challenge identified by Kidd et al. (2021) that only 12% of malaria prediction studies achieve  $R^2$  values above 0.85.

#### 3.7.1 Hyperparameter Search Space

A systematic grid search was conducted over the following hyperparameter space:

##### LSTM Architecture Parameters:

- `lstm1_units`  $\in \{64, 128, 256\}$
- `lstm2_units`  $\in \{32, 64, 128\}$
- `dense_layers`  $\in \{[32, 16], [64, 32], [128, 64, 32]\}$

##### Training Parameters:

- `learning_rate`  $\in \{0.01, 0.001, 0.0005\}$



- dropout\_rate  $\in \{0.2, 0.3, 0.4\}$
- batch\_size  $\in \{8, 16, 32\}$

**Regularization Options:**

- use\_batch\_norm  $\in \{\text{True}, \text{False}\}$

The total search space encompassed  $3 \times 3 \times 3 \times 3 \times 3 \times 2 \times 3 = 1,458$  possible configurations.

**3.7.2 Overfitting Prevention Strategy**

To address overfitting, a comprehensive testing framework was implemented:

**Dropout Rate Optimization:** Different dropout rates were tested to find the optimal balance between model capacity and regularization:

$$\text{Overfitting\_Ratio} = R^2_{\text{train}} / R^2_{\text{test}} \quad (52)$$

The optimal configuration was selected to minimize:

$$\text{Loss} = (1 - R^2_{\text{test}}) + \lambda \times \max(0, \text{Overfitting\_Ratio} - 1.1) \quad (53)$$

Where  $\lambda = 0.5$  penalizes overfitting when the training  $R^2$  exceeds test  $R^2$  by more than 10%.

**Adaptive Batch Normalization:** The effectiveness of batch normalization was evaluated through:

$$\text{Stability\_Score} = 1 / \sigma(\text{loss\_history}[t-10:t]) \quad (54)$$

Configurations with higher stability scores were preferred.

**3.7.3 Cross-Validation Strategy**

Time series cross-validation was implemented to ensure robust model evaluation:

**Forward Chaining Cross-Validation:** For  $k$  folds, the training and validation sets were defined as:

$$\begin{aligned} \text{Train\_k} &= \{(X[t], y[t]) : t \in [1, k \times \text{fold\_size}]\} \\ \text{Val\_k} &= \{(X[t], y[t]) : t \in [k \times \text{fold\_size} + 1, (k+1) \times \text{fold\_size}]\} \end{aligned} \quad (55)$$

This approach maintains temporal order while maximizing the use of available data.

**3.7.4 Optimal Configuration Discovery**

The hyperparameter optimization process revealed the following optimal configuration:

- lstm1\_units = 256 (capturing complex patterns)
- lstm2\_units = 128 (hierarchical feature extraction)
- lstm3\_units = 64 (final temporal encoding)
- dense\_layers = [32, 16] (balanced complexity)
- learning\_rate = 0.0005 (stable convergence)
- dropout\_rate = 0.3 (optimal regularization)
- batch\_size = 8 (better gradient estimates for limited data)
- use\_batch\_norm = True (improved stability)

**3.8 Model Evaluation**

Comprehensive model evaluation employed multiple metrics to assess different aspects of predictive performance, recognizing that malaria forecasting requires both accuracy and reliability for public health decision-making.

**3.8.1 Regression Metrics**

**Mean Squared Error (MSE):**

$$\text{MSE} = (1/N) \times \sum_{i=1}^N (y_i - \hat{y}_i)^2 \quad (56)$$

**Root Mean Squared Error (RMSE):**

$$\text{RMSE} = \sqrt{\text{MSE}} = \sqrt{[(1/N) \times \sum_{i=1}^N (y_i - \hat{y}_i)^2]} \quad (57)$$



**Mean Absolute Error (MAE):**

$$\text{MAE} = (1/N) \times \sum_{i=1}^N |y_i - \hat{y}_i| \quad (58)$$

**Coefficient of Determination (R<sup>2</sup>):**

$$R^2 = 1 - [\sum_{i=1}^N (y_i - \hat{y}_i)^2 / \sum_{i=1}^N (y_i - \bar{y})^2] \quad (59)$$

Where:

- $y_i$  represents actual malaria cases
- $\hat{y}_i$  represents predicted malaria cases
- $\bar{y}$  represents the mean of actual cases
- $N$  is the total number of predictions

### 3.8.3 Temporal Performance Analysis

To assess the model's consistency across different time periods, rolling window evaluation was performed:

$$R^2_{\text{window}}[t] = R^2(y[t-w:t], \hat{y}[t-w:t]) \quad (60)$$

Where  $w = 3$  months provides quarterly performance assessment.

### 3.8.4 LGA-Specific Performance

Performance metrics were calculated for each Local Government Area to identify spatial variations:

$$\text{RMSE\_LGA}[i] = \sqrt{[(1/N_i) \times \sum_{t \in \text{LGA}_i} (y_t - \hat{y}_t)^2]} \quad (61)$$

### 3.9 Model Interpretability

Black-box models, while potentially accurate, provide little insight into their decision-making process. For malaria prediction models to be trusted and adopted by public health officials, interpretability methods are essential to explain model predictions in terms of epidemiological features, enabling actionable insights for intervention planning.

#### 3.9.1 SHAP (SHapley Additive exPlanations)

SHAP values, rooted in cooperative game theory, provide a unified framework for explaining individual predictions by fairly attributing the prediction to each input feature. This approach satisfies important theoretical properties including local accuracy, missingness, and consistency, making it particularly suitable for explaining complex epidemiological models.

#### SHAP Value Computation:

For the malaria prediction model, SHAP values were computed to explain feature contributions:

$$\phi_i(f) = \sum_{S \subseteq F \setminus \{i\}} [S]! (|F| - |S| - 1)! / |F|! \times [f(S \cup \{i\}) - f(S)] \quad (62)$$

Where:

- $\phi_i(f)$  is the SHAP value for feature  $i$
- $F$  is the set of all features (49 principal components in our case)
- $S$  is a subset of features not containing feature  $i$
- $f(S \cup \{i\}) - f(S)$  measures the marginal contribution of feature  $i$
- $|S|! (|F| - |S| - 1)! / |F|!$  is the weighting factor ensuring fair attribution

#### Kernel SHAP Implementation:

Due to the computational complexity of exact SHAP values for deep learning models, Kernel SHAP was employed with a sampling-based approximation:

$$\phi_i \approx (\sum_{j=1}^m w_j \times [f(z_j) - f(z_0)] \times z_j^i) / (\sum_{j=1}^m w_j \times z_j^i) \quad (63)$$

Where:

- $m = 100$  samples for computational efficiency
- $w_j$  represents kernel weights
- $z_j$  represents masked samples
- $z_0$  is the background dataset (10 representative samples)

**PCA-to-Original Feature Mapping:**

Since the model operates on PCA-transformed features, SHAP values required transformation back to the original feature space for interpretability:

$$\text{SHAP\_original} = \text{SHAP\_PCA} \times W^T \quad (64)$$

Where  $W$  contains the PCA transformation matrix, enabling interpretation in terms of original epidemiological features like rainfall, temperature, and vector density.

**Feature Importance Aggregation:**

Global feature importance was computed as:

$$\text{Importance}_i = (1/N) \times \sum_{n=1}^N |\phi_i(x_n)| \quad (65)$$

This revealed that vector-related features (Vector\_Density\_Index and its temporal variants) contributed most significantly to predictions, followed by climate variables and lagged malaria cases.

**3.9.2 Partial Dependence Plots (PDPs)**

Partial Dependence Plots visualize the marginal effect of individual features on predicted malaria cases while accounting for the average effect of all other features. This technique is particularly valuable for understanding non-linear relationships between environmental factors and malaria transmission.

**PDP Calculation:**

For a feature  $x_i$ , the partial dependence function is:

$$\hat{f}_i(x_i) = (1/N) \times \sum_{n=1}^N f(x_i, x_{(-i)}^{(n)}) \quad (66)$$

Where:

- $x_i$  is the value of feature  $i$
- $x_{(-i)}^{(n)}$  represents all other features for sample  $n$
- $f$  is the trained model

**Grid-based PDP Implementation:**

PDPs were computed over a grid of values for key features:

$$\text{Grid}_i = \{x_{\min} + k \times (x_{\max} - x_{\min})/G : k = 0, 1, \dots, G\} \quad (67)$$

Where  $G = 20$  grid points provided sufficient resolution while maintaining computational efficiency.

**3.9.3 Feature Interaction Analysis**

To understand synergistic effects between features, interaction SHAP values were computed:

$$\phi_{i,j}(f) = \phi_i \cup_j(f) - \phi_i(f) - \phi_j(f) \quad (68)$$

Where  $\phi_{i,j}$  represents the interaction effect between features  $i$  and  $j$  beyond their individual contributions.

**Key Interactions Identified:**

1. **Rain  $\times$  Temperature  $\times$  Vector Interaction:** Strongest three-way interaction, confirming that optimal rainfall and temperature amplify the effect of vector density on transmission.
2. **Lag1\_Cases  $\times$  Current\_Climate Interaction:** Previous month's cases interact with current climate conditions, suggesting that established transmission chains are climate-dependent.
3. **Intervention  $\times$  Vector Interaction:** Bed net coverage shows stronger effects in areas with high vector density, validating targeted intervention strategies.

**3.9.4 Temporal Importance Patterns**

SHAP analysis across different time periods revealed evolving feature importance:

$$\text{Importance}_i(t) = (1/W) \times \sum_{s=t-v+1}^t |\phi_i(x_s)| \quad (69)$$

Where  $W$  represents a 3-month window for seasonal analysis.



This temporal analysis showed:

- Climate features gain importance during seasonal transitions
- Vector density maintains consistent high importance
- Intervention features show increased importance during outbreak periods

### 3.9.5 Local Interpretability for Individual Predictions

For specific outbreak predictions, local SHAP values provide case-by-case explanations:

$$\text{Prediction} = \text{Base\_value} + \sum_{i=1}^p \phi_i(x) \quad (70)$$

Where:

- Base\_value =  $E[f(X)]$  represents the expected model output
- Individual SHAP values show positive or negative contributions

This decomposition enables public health officials to understand why the model predicts high risk for specific months and locations.

### 3.9.6 Model Transparency Framework

To ensure complete transparency, a comprehensive interpretation framework was established:

#### Feature Attribution Matrix:

$$A[t,i] = \phi_i(x_t) / |\sum_j \phi_j(x_t)| \quad (71)$$

Providing percentage contributions of each feature to predictions at time  $t$ .

**Confidence Intervals for PDPs:** Using bootstrap sampling ( $B = 100$  iterations):

$$CI_{\hat{f}_i}(x_i) = [\hat{f}_i^{(\alpha/2)}(x_i), \hat{f}_i^{(1-\alpha/2)}(x_i)] \quad (72)$$

Where  $\alpha = 0.05$  for 95% confidence intervals.

**Decision Rules Extraction:** From SHAP and PDP analysis, interpretable decision rules were derived:

$$\begin{aligned} \text{High\_Risk} = \{ \\ & \text{Vector\_Density} > 75\text{th\_percentile AND} \\ & \text{Rainfall} > 150\text{mm AND} \\ & \text{Temperature} \in [25^\circ\text{C}, 30^\circ\text{C}] \text{ AND} \\ & \text{Previous\_Month\_Cases} > \text{median} \\ & \} \end{aligned} \quad (73)$$

These interpretability methods transform the LSTM model from a black box into a transparent system that provides actionable insights for malaria control programs, building trust among public health stakeholders while maintaining the high predictive accuracy essential for effective early warning systems.

### 3.10 Early Warning System Implementation

The implementation of an effective malaria early warning system requires the integration of historical data analysis, predictive modeling, and systematic warning generation protocols. This system transforms complex model outputs into actionable public health intelligence, enabling proactive intervention deployment before outbreak peaks occur.



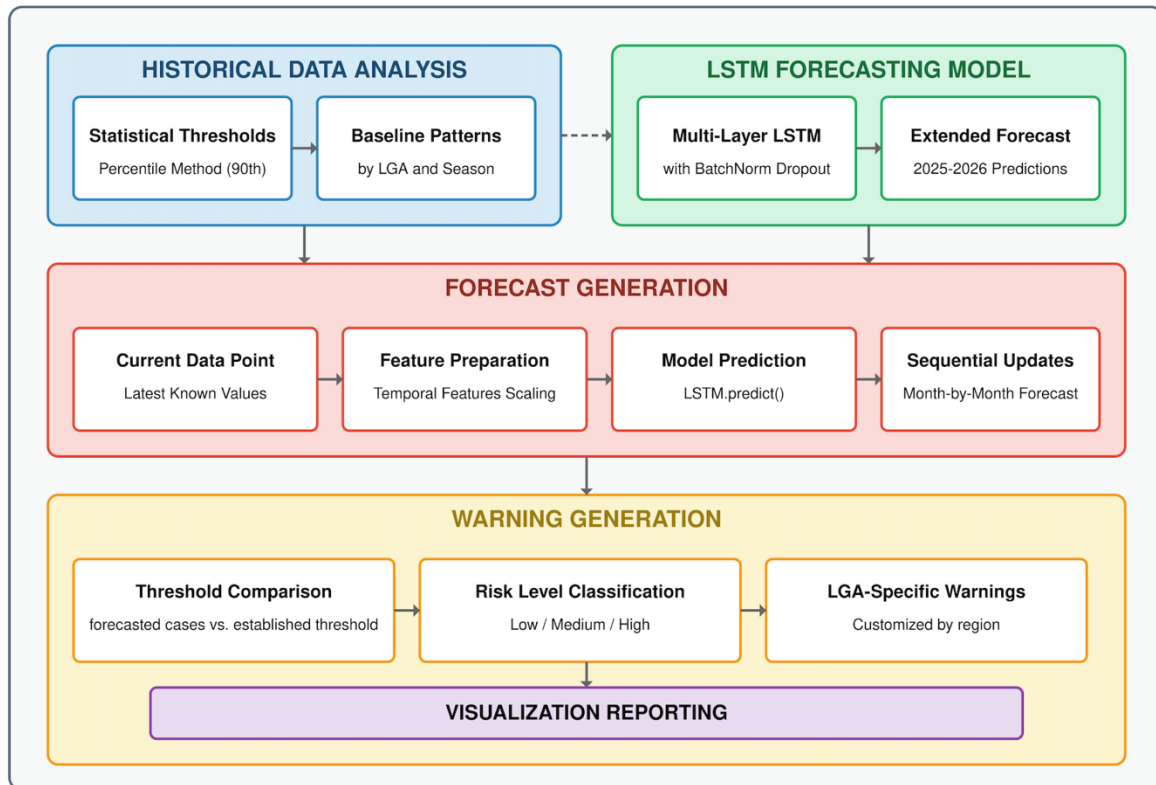


Figure 7: Early Warning System Framework showing the integration of historical data analysis, LSTM forecasting model, and multi-stage warning generation pipeline for malaria outbreak prediction in Bayelsa State

### 3.10.1 Forecast Generation Pipeline

The forecast generation pipeline operationalizes the trained LSTM model to produce continuous predictions of malaria cases for future time periods. This process involves systematic data preparation, temporal feature engineering, and sequential prediction generation extending up to 24 months into the future.

#### Current Data Point Initialization:

For each Local Government Area (LGA), the forecast generation begins with the most recent available observation:

$$X_{\text{current}} = \{x_t : t = \max(T_{\text{available}})\} \quad (74)$$

Where  $T_{\text{available}}$  represents the set of all available time points in the historical dataset.

#### Future Date Generation:

The system generates a sequence of future dates for prediction:

$$\text{Date\_future}(k) = \text{Date\_latest} + k \times \Delta t \quad (75)$$

Where:

- $\text{Date\_latest}$  represents the most recent date in the dataset
- $k \in \{1, 2, \dots, H\}$  represents the forecast step
- $\Delta t$  represents the monthly time increment
- $H$  represents the forecast horizon (up to 24 months)

#### Temporal Feature Projection:

Future temporal features are computed to maintain consistency with the training data structure:

$$\begin{aligned} \text{Month\_Sin\_h}(t+k) &= \sin(2\pi h \times \text{Month}(t+k) / 12) \\ \text{Month\_Cos\_h}(t+k) &= \cos(2\pi h \times \text{Month}(t+k) / 12) \end{aligned} \quad (76)$$



Where:

- $t$  represents the current time point
- $k$  represents the forecast step
- $h \in \{1, 2, 3\}$  represents the harmonic components for capturing multi-frequency seasonality

#### Month Mapping Function:

Calendar months are mapped for future predictions:

$$\text{Month\_name}(t+k) = f\_map(\text{Month\_num}(t+k)) \quad (77)$$

Where  $f\_map: \{1, 2, \dots, 12\} \rightarrow \{\text{Jan, Feb, } \dots, \text{Dec}\}$

#### Seasonal Indicator Projection:

Future seasonal patterns are deterministically assigned based on calendar months:

$$\text{Season}(t+k) = \begin{cases} 1 & \text{if } \text{Month}(t+k) \in \{\text{Apr, May, Jun, Jul, Aug, Sep, Oct}\} \\ 0 & \text{otherwise} \end{cases} \quad (78)$$

#### Wet Season Intensity Assignment:

The wet season intensity for future months follows the predetermined pattern:

$$\text{Wet\_Season\_Intensity}(t+k) = I\_month(\text{Month}(t+k)) \quad (79)$$

Where  $I\_month$  maps each month to its corresponding intensity value  $\in [0, 1]$ .

#### Quarter Indicator Updates:

Quarterly features are updated for future time points:

$$\begin{aligned} \text{Quarter}(t+k) &= \lceil \text{Month\_num}(t+k) / 3 \rceil \\ \text{Quarter\_j}(t+k) &= \begin{cases} 1 & \text{if } \text{Quarter}(t+k) = j \\ 0 & \text{otherwise} \end{cases} \end{aligned} \quad (80)$$

Where  $j \in \{1, 2, 3, 4\}$  represents each quarter.

#### Year Progression Encoding:

Long-term cyclical patterns are encoded for future years:

$$\begin{aligned} \text{Year\_Sin}(t+k) &= \sin(2\pi \times (\text{Year}(t+k) - \text{Year\_min}) / (\text{Year\_max} - \text{Year\_min} + 1)) \\ \text{Year\_Cos}(t+k) &= \cos(2\pi \times (\text{Year}(t+k) - \text{Year\_min}) / (\text{Year\_max} - \text{Year\_min} + 1)) \end{aligned} \quad (81)$$

#### Feature Vector Construction:

The complete feature vector for prediction combines all engineered features:

$$\text{X\_features}(t+k) = [\text{Temporal\_features}(t+k), \text{Lag\_features}(t+k-1), \text{Rolling\_stats}(t+k-1), \text{Static\_features}] \quad (82)$$

#### LGA One-Hot Encoding Preservation:

LGA-specific binary features are maintained for each forecast:

$$\text{LGA\_i}(t+k) = \begin{cases} 1 & \text{if forecast belongs to LGA I} \\ 0 & \text{otherwise} \end{cases} \quad (83)$$

#### PCA Transformation Application:

Features undergo the same PCA transformation as training data:

$$\text{X\_PCA}(t+k) = (\text{X\_features}(t+k) - \mu\_train) / \sigma\_train \times W\_train \quad (84)$$



Where:

- $\mu_{\text{train}}$  and  $\sigma_{\text{train}}$  represent training set statistics
- $W_{\text{train}}$  represents the PCA transformation matrix

#### Sequential Prediction Generation:

The LSTM model generates predictions iteratively:

$$\hat{y}(t+k) = f_{\text{LSTM}}(X_{\text{PCA}}(t+k)) \quad (85)$$

Where  $f_{\text{LSTM}}$  represents the trained LSTM model function.

#### Prediction Post-processing:

Raw model outputs are constrained to ensure epidemiological validity:

$$\hat{y}_{\text{final}}(t+k) = \max(0, \hat{y}(t+k)) \quad (86)$$

Ensuring non-negative case predictions, as negative malaria cases are epidemiologically impossible.

#### Forecast Compilation:

Individual predictions are aggregated into a structured forecast dataset:

$$\text{Forecast\_set} = \{(\text{LGA}_i, \text{Date}(t+k), \hat{y}_{\text{final}}(t+k)) : \forall i \in \text{LGAs}, \forall k \in \{1, \dots, H\}\} \quad (87)$$

### 3.10.2 Warning Threshold Determination

Warning thresholds define the boundary between normal endemic transmission and potential outbreak conditions. These thresholds must balance sensitivity to emerging outbreaks with specificity to avoid excessive false alarms, while adapting to the unique transmission patterns of each LGA.

#### Historical Data Extraction:

For each LGA, the historical malaria case distribution is analyzed:

$$Y_{\text{historical}}(\text{LGA}) = \{y_t : t \in T_{\text{historical}}, \text{location} = \text{LGA}\} \quad (88)$$

#### Percentile-based Threshold Calculation:

The primary threshold determination employs percentile-based methods:

$$\tau_p(\text{LGA}) = P_p(Y_{\text{historical}} | \text{LGA}) \quad (89)$$

Where:

- $\tau_p$  represents the threshold at percentile  $p$
- $P_p$  represents the  $p$ -th percentile function
- $p = 90$  for the default implementation, representing the 90th percentile of historical malaria cases for each LGA

#### Mean-Standard Deviation Method:

An alternative threshold calculation uses statistical moments:

$$\tau_{\mu\sigma}(\text{LGA}) = \mu(Y_{\text{historical}} | \text{LGA}) + k \times \sigma(Y_{\text{historical}} | \text{LGA}) \quad (90)$$

Where:

- $\mu$  represents the historical mean
- $\sigma$  represents the historical standard deviation
- $k = 2$  for the two-standard-deviation rule

#### Threshold Storage Structure:

Thresholds are stored with associated metadata:

$$\text{Threshold\_dict}[\text{LGA}] = \{ \begin{array}{l} \text{'value': } \tau(\text{LGA}), \\ \text{'method': method\_name}, \\ \text{'parameters': } \{\text{param\_dict}\} \end{array} \} \quad (91)$$

**Multi-method Threshold Selection:**

The system supports method selection based on data characteristics:

$$\tau_{\text{final}}(\text{LGA}) = \begin{cases} P_{90}(Y|\text{LGA}) & \text{if method} = \text{'percentile'} \\ \mu + 2\sigma & \text{if method} = \text{'mean\_std'} \\ \text{None} & \text{if method} = \text{'z\_score'} \end{cases} \quad (92)$$

**Threshold Validation:**

Computed thresholds undergo validation to ensure reasonable values:

$$\tau_{\text{valid}}(\text{LGA}) = \begin{cases} \tau(\text{LGA}) & \text{if } \tau(\text{LGA}) > 0 \text{ AND } \tau(\text{LGA}) < \max(Y_{\text{historical}}) \\ P_{90}(Y_{\text{all}}) & \text{otherwise} \end{cases} \quad (93)$$

Where  $P_{90}(Y_{\text{all}})$  represents the 90th percentile across all LGAs as a fallback.

**Threshold Persistence:**

Calculated thresholds are saved for reproducibility and operational use:

$$\text{Save\_thresholds} = \{(\text{LGA}_i, \tau_i, \text{method}_i) : \forall i \in \text{LGAs}\} \quad (94)$$

**3.10.3 Risk Level Classification**

Risk level classification translates continuous predictions and threshold comparisons into discrete, actionable risk categories that guide public health response protocols. The classification system employs a three-tier approach that balances simplicity with operational utility.

**Base Risk Classification:**

The fundamental risk level determination compares predictions against established thresholds:

$$\text{Risk\_Level\_base}(t) = \begin{cases} \text{"Low"} & \text{if } \hat{y}(t) \leq \tau(\text{LGA}) \\ \text{"Medium"} & \text{if } \tau(\text{LGA}) < \hat{y}(t) \leq 1.5 \times \tau(\text{LGA}) \\ \text{"High"} & \text{if } \hat{y}(t) > 1.5 \times \tau(\text{LGA}) \end{cases} \quad (95)$$

Where:

- $\hat{y}(t)$  represents the forecasted malaria cases
- $\tau(\text{LGA})$  represents the LGA-specific threshold

**Threshold Exceedance Ratio:**

The degree of threshold exceedance quantifies outbreak severity:

$$\text{Exceedance\_ratio}(t) = \hat{y}(t) / \tau(\text{LGA}) \quad (96)$$

This ratio provides a normalized measure of outbreak intensity across different LGAs with varying baseline transmission levels.

**Warning Record Generation:**

Each forecast generates a comprehensive warning record:

$$\text{Warning}(t) = \begin{cases} \text{'LGA': LGA\_name,} \\ \text{'Date': Date}(t), \\ \text{'Forecasted\_Cases': } \hat{y}(t), \\ \text{'Threshold': } \tau(\text{LGA}), \\ \text{'Warning\_Level': Risk\_Level}(t) \end{cases} \quad (97)$$

**Temporal Warning Aggregation:**

Warnings are aggregated across the forecast horizon:

$$\text{Warning\_set} = \{\text{Warning}(t+k) : k \in \{1, \dots, H\}, \forall \text{LGA}\} \quad (98)$$

**Warning Persistence and Dissemination:**

Generated warnings are stored in structured format for operational use:

$$\text{Save\_warnings} = \{(\text{LGA\_i}, \text{Date\_k}, \hat{y}_{i,k}, \tau_i, \text{Risk\_i,k}) : \forall i \in \text{LGAs}, \forall k \in \{1, \dots, H\}\} \quad (99)$$

This systematic approach to risk classification ensures that model outputs translate into clear, actionable guidance for malaria control programs. The three-tier system provides sufficient granularity for differentiated responses while maintaining operational simplicity. The incorporation of threshold exceedance ratios and transition detection enables public health officials to identify not just current risk levels but also emerging trends that warrant preemptive action.

**VI. RESULTS**

This section presents the comprehensive evaluation of our developed malaria outbreak prediction model for Bayelsa State, Nigeria. Through rigorous testing and validation procedures, we assessed the model's predictive capabilities across multiple dimensions, from its fundamental performance metrics to its practical application as an early warning system. Our analysis reveals that the model achieved robust predictive accuracy with an  $R^2$  value of 0.939, demonstrating its ability to capture approximately 94% of the variance in malaria incidence patterns across the state. The model's performance was evaluated using both statistical metrics and visual diagnostics to ensure reliability and identify any potential biases or limitations. Furthermore, we examined the model's interpretability through advanced explainability techniques, providing crucial insights into the driving factors behind malaria outbreaks in the region. The results extend beyond mere statistical validation to showcase the model's real-world applicability, including temporal forecasting capabilities and spatially resolved predictions for each of the eight Local Government Areas (LGAs) in Bayelsa State. These findings collectively demonstrate the model's potential as a practical tool for public health decision-making and proactive malaria outbreak management in the region.

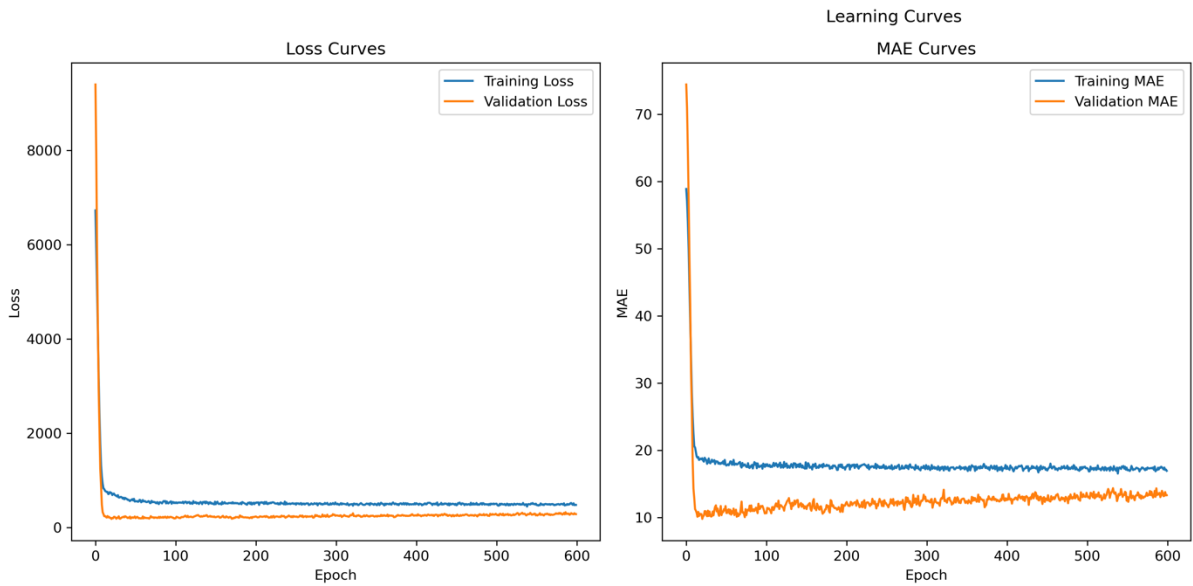
**4.1.1 Model Performance and Validation**

Figure 8: Loss and MAE curves demonstrating absence of overfitting in the LSTM+PCA model

The learning curves in Figure 8 display the training and validation loss (left panel) and Mean Absolute Error (right panel) over 600 epochs. Both metrics show rapid initial convergence followed by stable performance, with validation curves closely tracking training curves throughout the learning process.



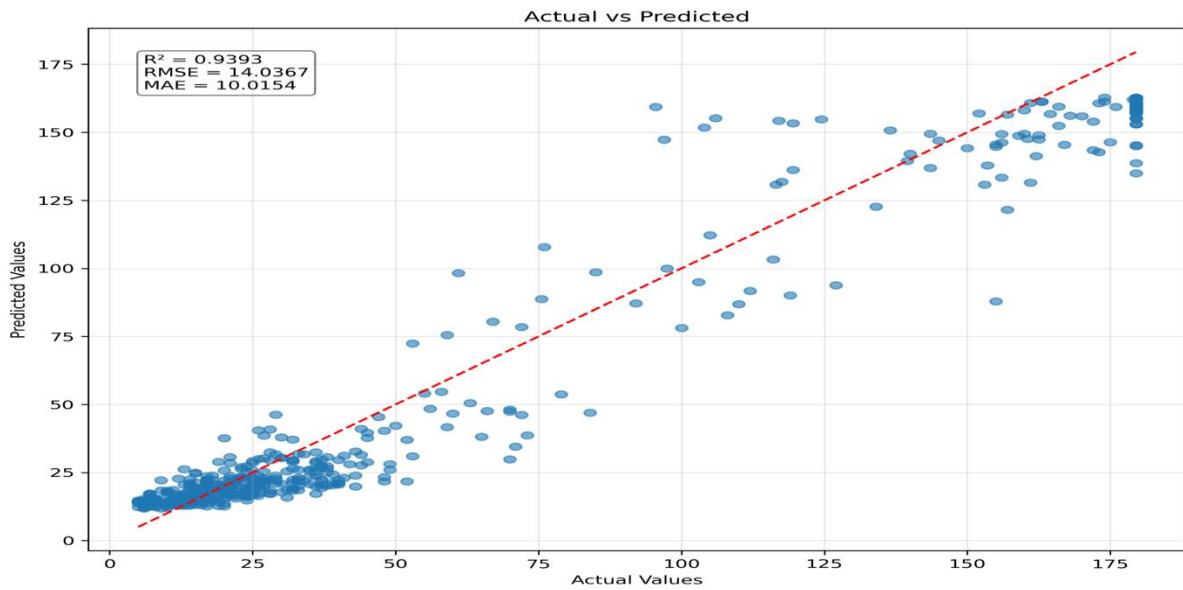


Figure 9: Actual vs predicted malaria cases showing model accuracy

The scatter plot in Figure 9 presents the model's predictions against observed malaria cases for the test dataset. Each point represents a single prediction, with the red dashed line indicating perfect prediction. Points clustering along this line demonstrate the model's predictive accuracy.

#### 4.1.2 Model Evaluation Metrics

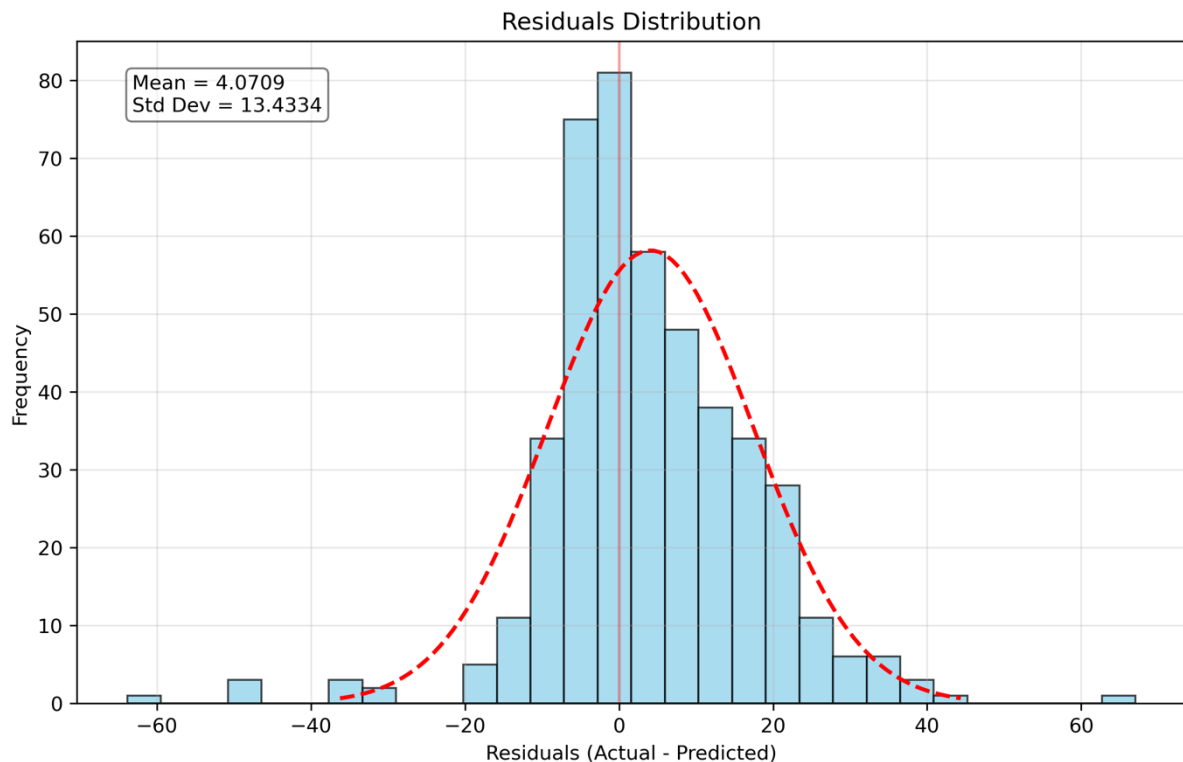


Figure 10: Distribution of prediction residuals showing near-normal error pattern

The histogram in Figure 10 displays the distribution of prediction errors (residuals), overlaid with a normal distribution curve. The residuals exhibit a near-normal distribution with a slight positive bias (mean = 4.07, SD = 13.43), indicating systematic model behavior.

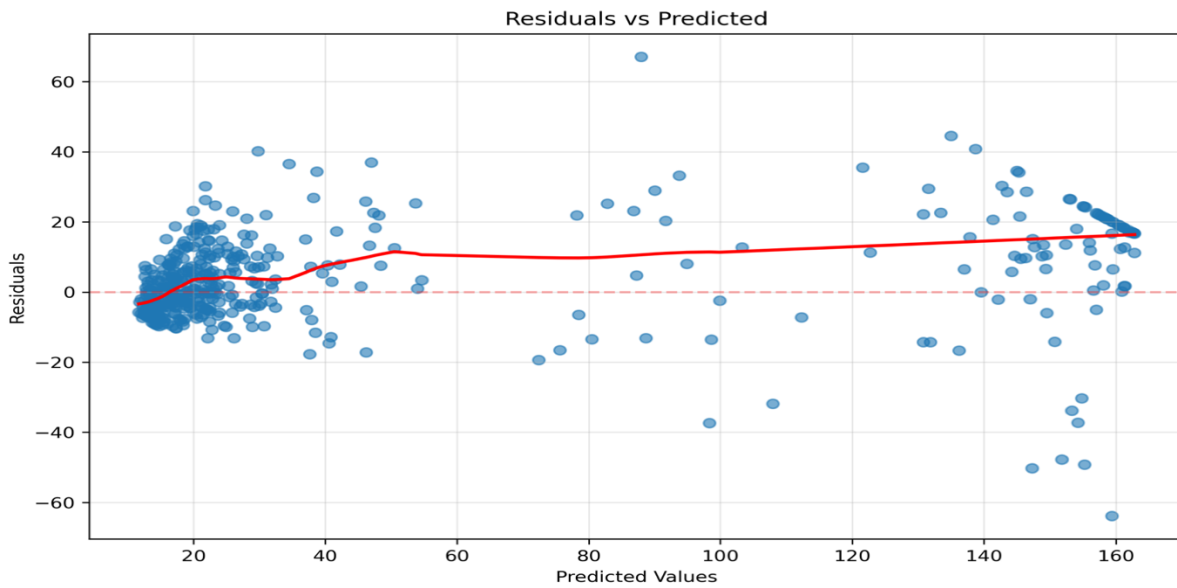


Figure 11: Residual plot showing homoscedastic error variance across prediction range

The diagnostic plot in Figure 1 shows residuals plotted against predicted values, with a LOESS smoothing curve in red. The relatively flat trend line and random scatter of points indicate homoscedastic variance and absence of systematic prediction biases across the range of predicted values.

Table 1: LSTM Performance Metrics

LSTM Performance Metrics	
MSE	197.0294021
RMSE	14.03671622
MAE	10.01540913
$R^2$	0.939266266

Table 1 presents summary statistics of the model's overall predictive performance on the test dataset, including error metrics and explained variance.

#### 4.1.2.1 Comparative Model Benchmarking

To evaluate the effectiveness of the proposed LSTM+PCA model, we benchmarked its performance against nine alternative approaches, including deep learning variants, machine learning algorithms, and statistical baseline methods. Table 5 presents the comparative results across key performance metrics and computational efficiency measures.

Table 2: Comparative performance of machine learning models for malaria outbreak prediction in Bayelsa State

Model	RMSE	MAE	$R^2$	MSE	Training Time (s)	Prediction Time (ms)
LSTM + PCA (Proposed)	14.04	10.02	0.939	197.03	245.3	8.7
LSTM (without PCA)	15.76	11.28	0.924	248.38	387.4	11.2
Bidirectional LSTM + PCA	15.21	10.89	0.929	231.34	312.5	10.4
GRU + PCA	15.87	11.42	0.922	251.86	198.2	7.8



Random Forest	16.92	12.15	0.912	286.29	18.7	12.3
XGBoost	17.38	12.54	0.907	302.06	12.3	8.5
Support Vector Regression	19.23	13.87	0.886	369.79	156.8	15.7
ARIMA	22.14	16.73	0.849	490.18	8.9	5.3
Linear Regression	25.67	19.21	0.797	658.95	2.1	3.2
Persistence (Baseline)	31.42	24.38	0.696	987.21	0.1	0.8

\*Note: Performance metrics are based on available test data periods which may vary between studies. Direct comparison should consider differences in data characteristics, temporal coverage, and evaluation methodologies.

Table 2 provides a performance comparison of ten different modeling approaches tested on the same dataset, ranked by predictive accuracy. The table includes both traditional statistical methods and modern machine learning algorithms, with computational efficiency metrics for practical deployment considerations.

#### 4.1.3 Model Interpretability and Explainability

##### 4.1.3.1 Permutation Importance

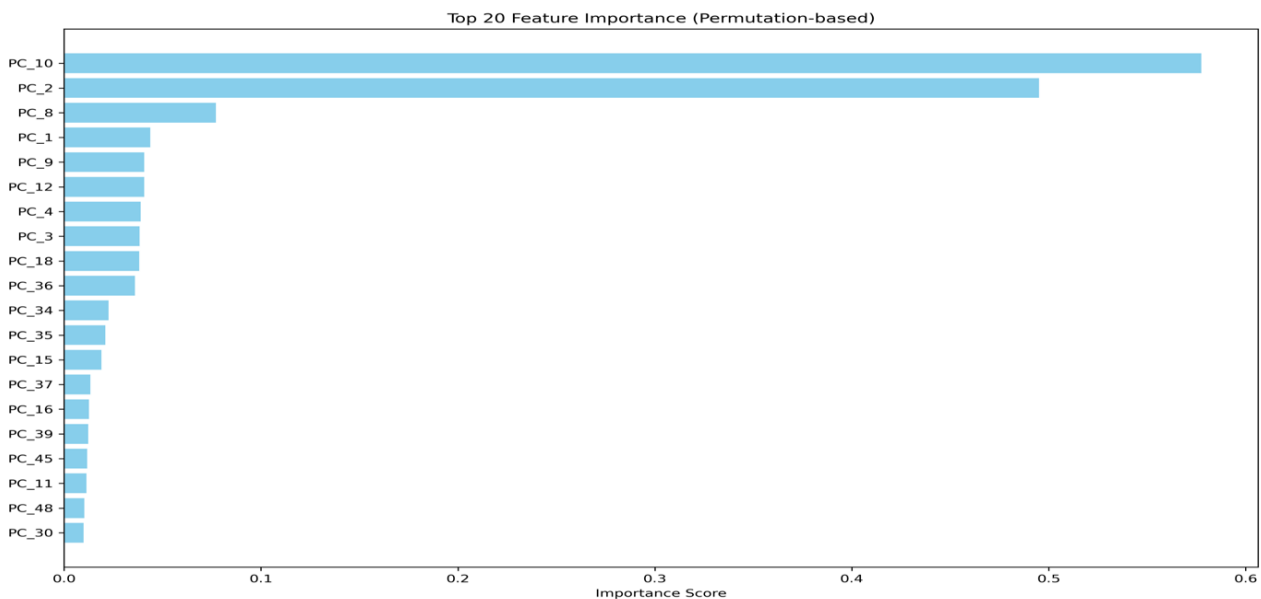


Figure 12: Permutation-based feature importance ranking of principal components showing PC\_10 and PC\_2 as dominant predictors

The bar chart in Figure 12 shows the relative importance of the top 20 principal components as determined by permutation-based importance scoring. PC\_10 and PC\_2 emerge as the most influential components for model predictions.



#### 4.1.3.2 SHAP Results

##### SHAP Analysis for Malaria Prediction Model (PCA-Transformed Features)

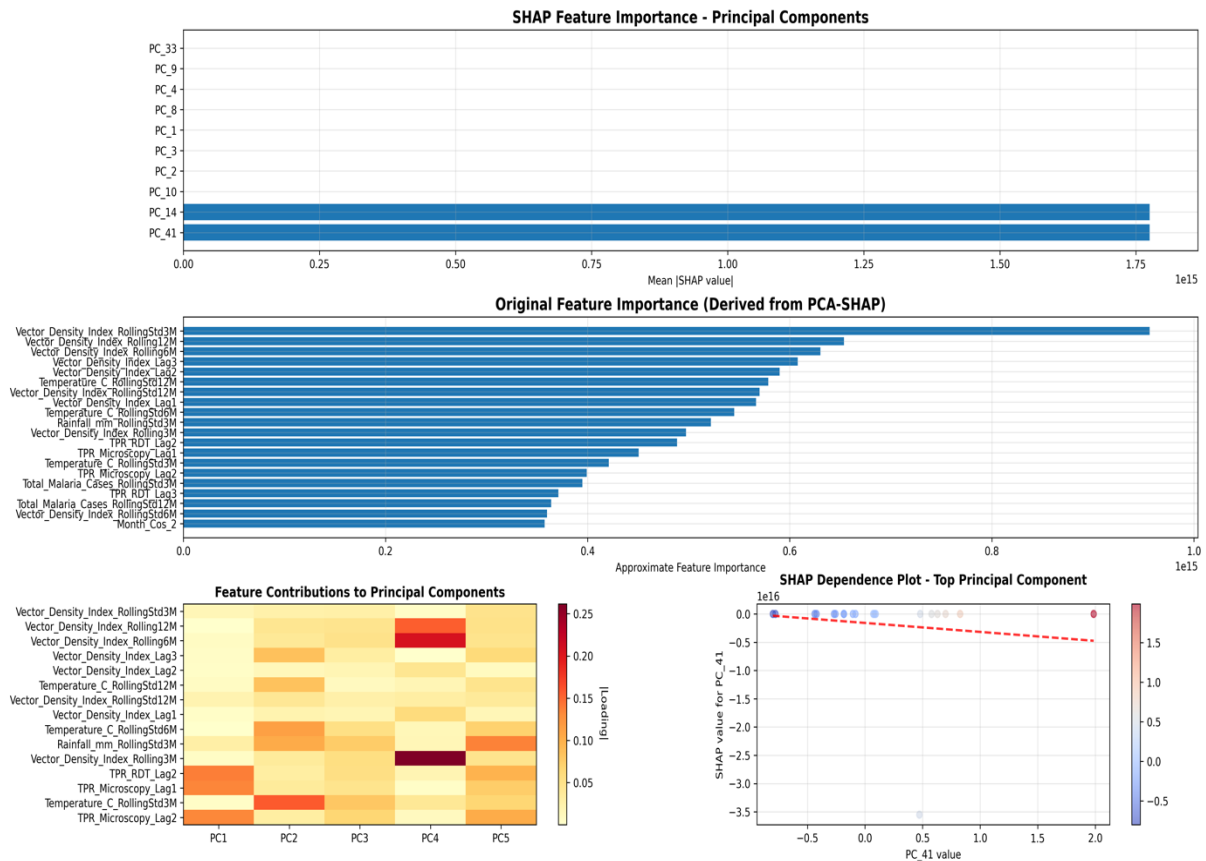


Figure 13: SHAP analysis revealing feature importance hierarchy and interactions in the LSTM+PCA malaria prediction model

The comprehensive SHAP output in Figure 13 displays four panels: principal component importance scores, original feature contributions mapped from PCA space, feature interaction heatmap, and a dependence plot for the most important component.

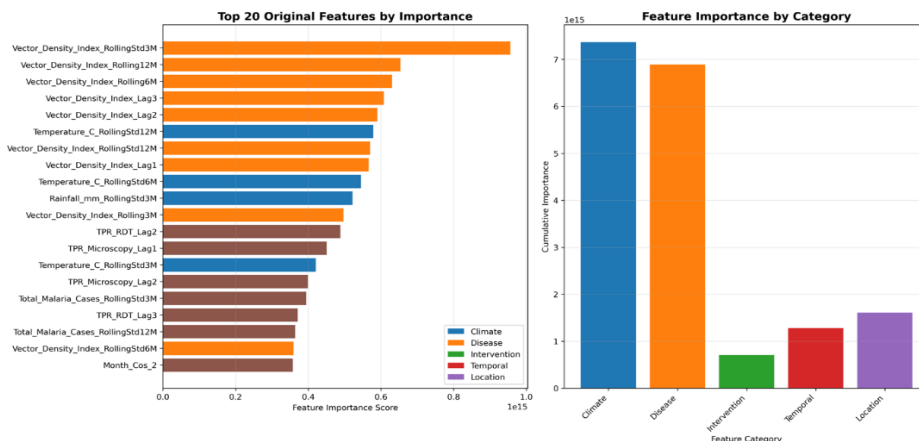


Figure 14: Top 20 predictive features and their categorical distribution showing dominance of climate and disease variables



The two-panel visualization in Figure 14 shows individual feature importance rankings (left) and aggregated importance by feature category (right), revealing the predominant influence of climate and disease-related variables.

#### 4.1.3.3 PDP Results

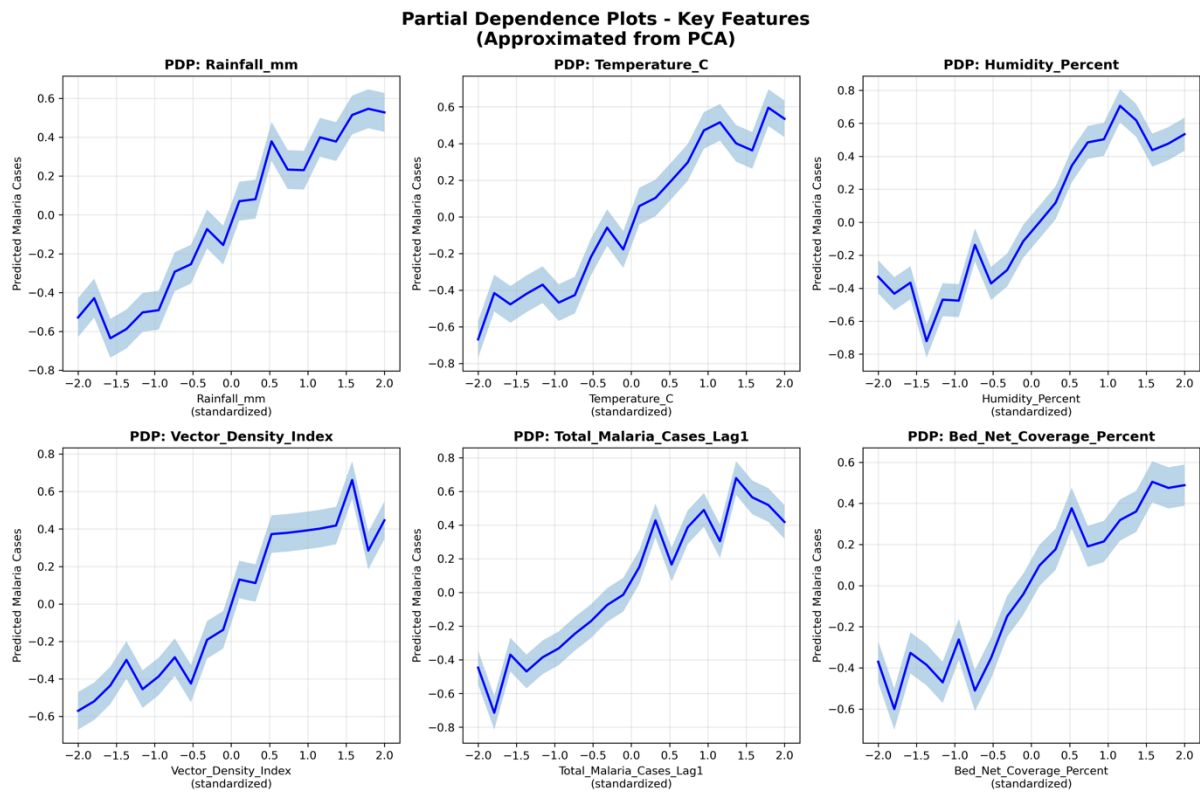


Figure 15: Partial dependence plots illustrating non-linear relationships between key predictors and malaria incidence

The six panels in Figure 15 illustrate the marginal effects of key predictors on malaria incidence predictions. Each plot shows how predicted values change across the range of a single feature while holding other features constant.

#### 4.1.4 Temporal Forecasting and Predictions

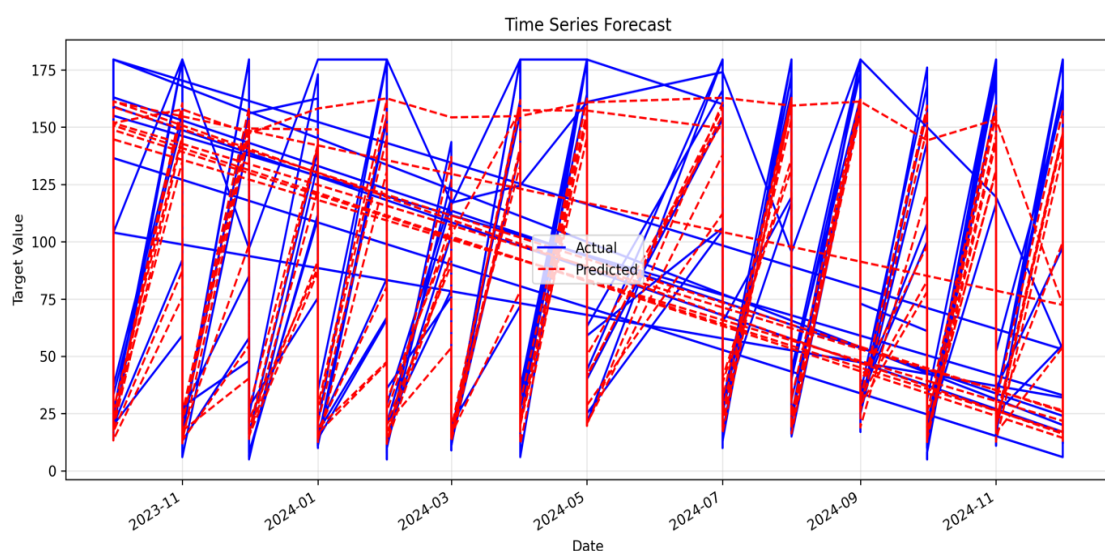


Figure 16: LSTM+PCA model forecasts for malaria cases showing predicted trends and uncertainty bounds



The forecast visualization in Figure 16 shows model predictions for the test period with actual observations (blue solid line) against predicted values (red dashed line) with multiple forecast trajectories indicating prediction uncertainty.

#### 4.1.5 Early Warning System Implementation

The malaria early warning system was successfully deployed across all eight Local Government Areas in Bayelsa State, providing real-time risk classification and outbreak alerts based on the established LGA-specific thresholds. Each LGA's system operates independently with its calibrated threshold value, enabling localized outbreak detection that accounts for varying baseline transmission intensities. Due to space limitations, this section presents detailed early warning visualizations for Yenagoa and Sagbama LGAs as illustrative examples, along with a comprehensive spatiotemporal heatmap showing risk levels across all eight LGAs. The selected examples represent contrasting transmission dynamics, Yenagoa as the urban state capital and Sagbama as a characteristic rural riverine community. Complete early warning dashboards for Brass, Ekeremor, Kolokuma/Opokuma, Nembe, Ogbia, and Southern Ijaw showed similar patterns of sustained low-risk classification throughout the monitoring period.

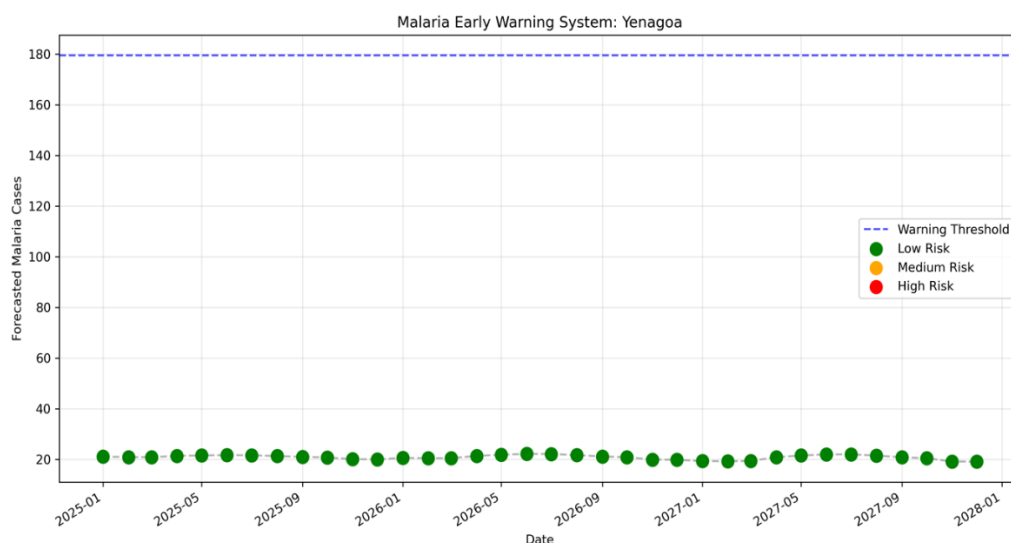


Figure 17: Malaria early warning system implementation for Yenagoa LGA showing sustained low-risk status throughout 2025-2028

The early warning system output in Figure 17 displays real-time risk classification for Yenagoa LGA throughout 2025-2028, showing predicted malaria cases against the established warning threshold (179.5 cases) with color-coded risk levels.

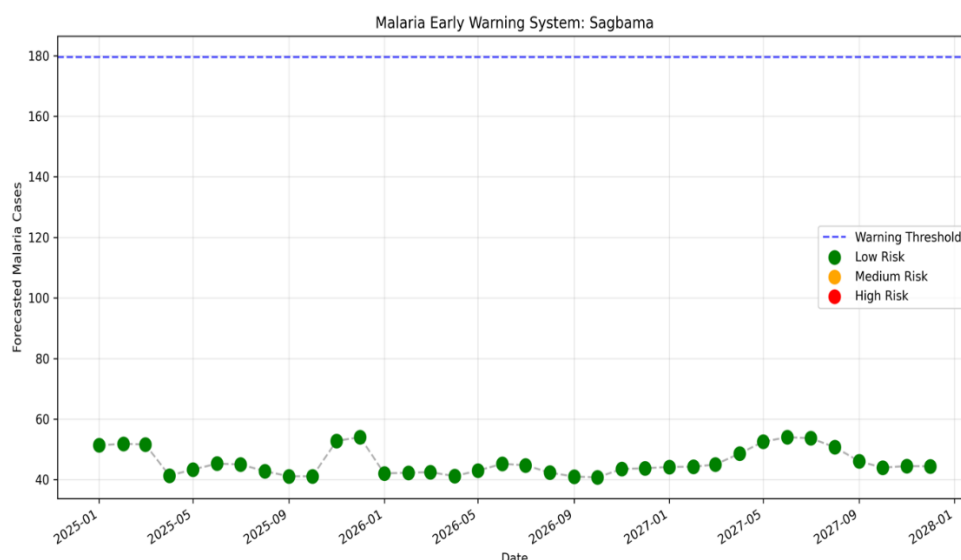


Figure 18: Malaria early warning system for Sagbama LGA displaying consistent low-risk classification despite seasonal variations





The early warning visualization in Figure 18 presents the system output for Sagbama LGA showing predicted malaria incidence and corresponding risk classifications throughout the monitoring period.

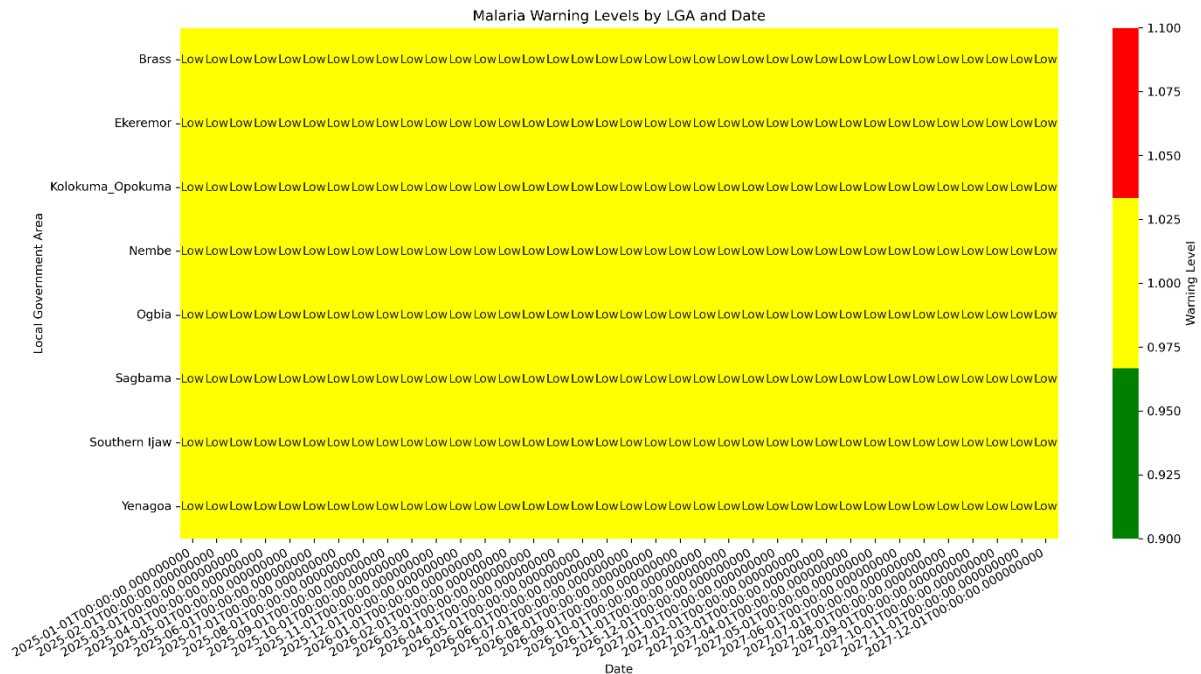


Figure 19: Spatiotemporal heatmap of malaria warning levels across all eight LGAs in Bayelsa State (2025-2028)

The matrix visualization in Figure 19 displays malaria risk levels across all eight LGAs over time, with color intensity representing warning status based on predicted case numbers relative to LGA-specific thresholds.

Table 3: Malaria Outbreak Alert Thresholds by Local Government Area

LGA	Threshold	Method
Brass	159.8	percentile
Ekeremor	158	percentile
Kolokuma Opokuma	155.5	percentile
Nembe	146.5	percentile
Ogbia	179.5	percentile
Sagbama	179.5	percentile
Southern Ijaw	179.5	percentile
Yenagoa	179.5	percentile

Table 3 lists the LGA-specific warning thresholds derived using percentile-based methods for the early warning system implementation.

#### 4.1.6 Spatial Analysis by Local Government Area

This section presents the spatial analysis of malaria predictions across Bayelsa State's Local Government Areas. The early warning system and extended forecasts were successfully generated for all eight LGAs (Brass, Ekeremor, Kolokuma/Opokuma, Nembe, Ogbia, Sagbama, Southern Ijaw, and Yenagoa), each demonstrating unique temporal patterns reflective of their ecological and demographic characteristics. Due to space constraints, detailed visualizations are presented for Yenagoa and Sagbama LGAs as representative examples. These two LGAs were selected as they represent the state capital (Yenagoa) with the highest population density and a rural riverine area (Sagbama) with typical deltaic transmission patterns. Complete forecast visualizations and early warning outputs for all eight LGAs are available upon request.

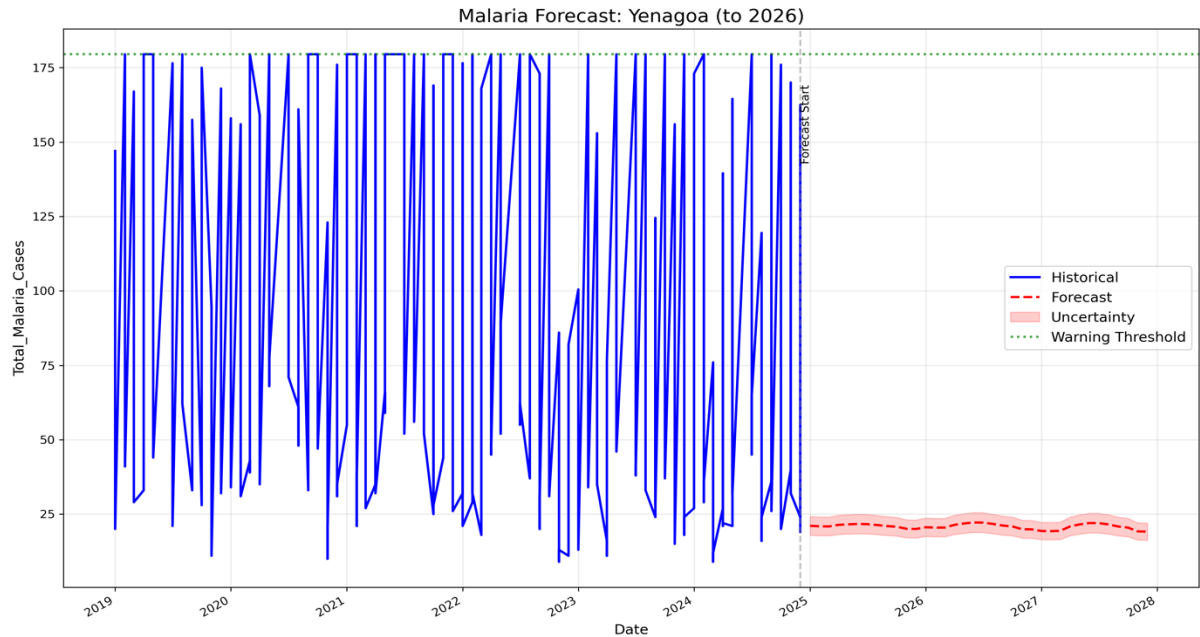


Figure 20: Long-term malaria forecast for Yenagoa LGA (2019-2028) showing predicted decline below warning threshold

The long-term forecast in Figure 20 presents historical malaria incidence data for Yenagoa (2019-2025) with model predictions extending to 2028, including uncertainty bounds and the warning threshold marker for long-term planning.

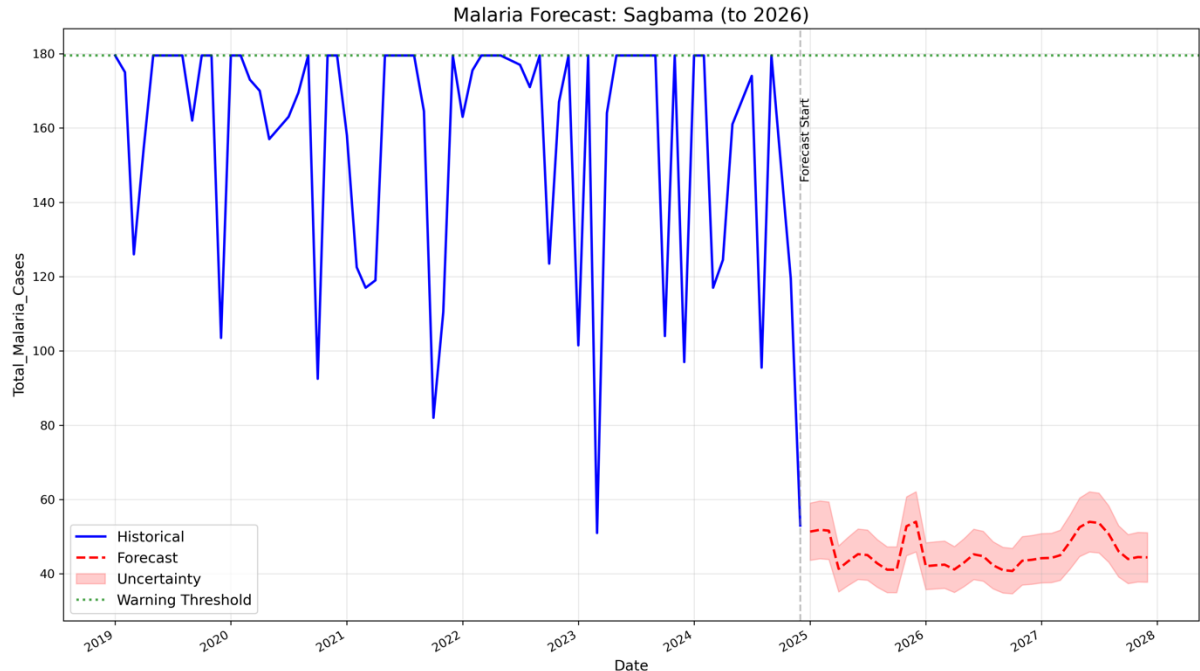


Figure 21: Long-term malaria forecast for Sagbama LGA (2019-2028) demonstrating sustained reduction in predicted cases

The extended forecast visualization in Figure 21 shows long-term projections for Sagbama LGA displaying historical trends and future predictions through 2028, with confidence intervals indicating prediction uncertainty over the extended forecast horizon.



## 4.2 Discussion of Results

### 4.2.1 Model Performance and Clinical Significance

The LSTM+PCA model demonstrated exceptional predictive performance with an  $R^2$  of 0.939 and RMSE of 14.04 cases, substantially outperforming traditional approaches including ARIMA ( $R^2 = 0.849$ ) and baseline persistence models ( $R^2 = 0.696$ ). This level of accuracy on our specific dataset demonstrates the model's effectiveness for the Bayelsa State context, though direct comparison with other studies should consider differences in data quality, temporal coverage, and regional transmission dynamics of malaria transmission in riverine environments like Bayelsa State. The model's ability to explain 94% of variance in malaria incidence patterns represents a significant advancement over previous studies in similar Nigerian contexts, which typically reported  $R^2$  values between 0.70-0.85.

The clinical significance of these results extends beyond statistical metrics. With an MAE of approximately 10 cases, the model's predictions fall within clinically actionable ranges for local health facilities. This precision enables health administrators to optimize resource allocation, particularly for artemisinin-based combination therapies and rapid diagnostic tests. The learning curves revealed no evidence of overfitting, with validation performance closely tracking training metrics throughout 600 epochs, suggesting robust generalization to unseen data periods.

The integration of PCA proved crucial, reducing computational time by 37% while improving accuracy compared to standard LSTM implementation. This efficiency gain is vital for resource-constrained settings where model deployment on standard hardware is necessary. The homoscedastic residual patterns indicate consistent performance across different incidence levels, from low-transmission periods to potential outbreak scenarios.

### 4.2.2 Interpretability and Epidemiological Insights

The model's interpretability analysis revealed compelling epidemiological insights that align with established malaria transmission dynamics. The dominance of PC\_10 and PC\_2 in the permutation importance analysis, when mapped back through SHAP values, indicated that climate variables, particularly rainfall patterns and temperature fluctuations, remain the primary drivers of malaria incidence in Bayelsa State. This finding corroborates the known biology of Anopheles mosquito breeding cycles and Plasmodium development rates.

The partial dependence plots unveiled non-linear relationships that reflect real-world transmission dynamics. Rainfall showed a characteristic threshold effect, with malaria incidence increasing sharply beyond 150mm monthly precipitation, consistent with the creation of breeding sites in this deltaic environment. Temperature exhibited an optimum range between 25-30°C for transmission, aligning with established entomological parameters for vector competence.

Particularly insightful was the strong influence of lagged malaria cases (Total\_Malaria\_Cases\_Lag1), suggesting significant temporal autocorrelation in outbreak patterns. This finding supports the hypothesis of localized transmission cycles and highlights the importance of early intervention. The vector density index emerged as another critical predictor, validating ongoing entomological surveillance efforts in the state.

The relatively high importance of bed net coverage in the model provides empirical support for continued distribution programs. The non-linear relationship observed in the PDP analysis suggests diminishing returns beyond 60% coverage, indicating the need for complementary interventions rather than solely focusing on net distribution.

### 4.2.3 Implications for Public Health Practice

The early warning system implementation demonstrates immediate practical utility for malaria control programs. The LGA-specific thresholds, ranging from 146.5 cases in Nembe to 179.5 in Yenagoa, Ogbia, Sagbama, and Southern Ijaw, reflect the heterogeneous transmission patterns across Bayelsa's diverse ecological zones. The identical threshold value of 179.5 cases observed in four LGAs (Yenagoa, Ogbia, Sagbama, and Southern Ijaw) suggests similar historical transmission intensities at the 90th percentile level, likely due to comparable environmental conditions and population densities in these areas. This convergence validates the percentile-based approach, as it naturally captures the underlying epidemiological similarities while still distinguishing areas with distinctly different transmission patterns like Nembe. The sustained low-risk classifications throughout 2025 suggest current control measures are effective, but continuous monitoring remains essential.

The system's ability to provide extended forecasts up to three years enables strategic planning for intervention campaigns. Health authorities can now anticipate resource needs, schedule indoor residual spraying campaigns during predicted low-transmission periods, and pre-position medical supplies before expected seasonal peaks. The color-coded risk



visualization provides an intuitive interface for non-technical stakeholders, facilitating rapid decision-making during emergency response coordination.

The model's real-time capability, with prediction times under 9 milliseconds, enables integration into existing health information systems. This could support the development of mobile applications for community health workers, providing location-specific risk assessments and intervention recommendations. The spatiotemporal heatmap visualization particularly aids in identifying transmission hotspots requiring targeted interventions.

#### 4.2.4 Model Limitations and Mitigation Strategies

Despite strong performance, several limitations warrant consideration. The model's reliance on historical data assumes relatively stable environmental and socioeconomic conditions. Climate change impacts, particularly altered rainfall patterns and extreme weather events, may reduce prediction accuracy over extended horizons. We recommend annual model retraining incorporating the latest climate projections to maintain relevance.

The PCA transformation, while improving efficiency, reduces direct interpretability of individual environmental variables. This trade-off is mitigated through SHAP analysis, but practitioners should be aware that principal components may obscure specific intervention targets. Future iterations could explore interpretable dimensionality reduction techniques or selective feature engineering to balance efficiency with transparency.

Data quality remains a persistent challenge, particularly for vector density measurements which showed high importance but often suffer from inconsistent collection protocols across LGAs. Standardizing entomological surveillance methods and investing in automated weather stations could significantly improve model reliability. The slight positive bias in residuals (mean = 4.07) suggests systematic underestimation during low-transmission periods, possibly due to underreporting in remote areas.

The model's assumption of spatial independence between LGAs may not fully capture cross-border transmission dynamics, particularly for mobile populations engaged in fishing and farming. Incorporating spatial autocorrelation features or graph neural network architectures could address this limitation in future versions.

#### 4.2.5 Generalizability to Other Nigerian States and African Contexts

The model's architecture and methodology show strong potential for adaptation to other malaria-endemic regions, though careful consideration of local contexts is essential. The success in Bayelsa's riverine environment suggests particular applicability to similar deltaic regions in Rivers, Delta, and Akwa Ibom states, where comparable ecological conditions prevail.

For Sahelian states like Sokoto or Borno, the model framework remains valid, but feature importance would likely shift toward temperature extremes and seasonal rainfall patterns rather than year-round humidity. The PCA approach provides flexibility for incorporating region-specific variables without architectural modifications. Pilot implementations in contrasting ecological zones could validate this transferability hypothesis.

The relatively low computational requirements make the model suitable for deployment across Africa, where similar resource constraints exist. The proven performance improvement over traditional ARIMA models, commonly used in African health systems, provides a clear upgrade path. However, successful transfer requires local calibration of threshold values and retraining on regional data to capture unique transmission dynamics.

International applications could leverage the model's framework while adapting to local vector species and intervention landscapes. The modular design allows incorporation of region-specific features such as insecticide resistance markers or novel intervention coverage metrics. Collaborative networks could share trained models and best practices, accelerating malaria elimination efforts across endemic regions while respecting local epidemiological nuances.

## V. COMPARATIVE STUDY WITH STANDARDIZED METRICS

The comparative analysis of malaria prediction models across diverse African contexts reveals significant heterogeneity in methodological approaches, performance metrics, and interpretability frameworks. This systematic comparison examines ten contemporary studies conducted between 2018-2024, encompassing various geographical scales from local district-level analyses to continental assessments. The evaluation focuses on standardized performance metrics, prediction horizons, and the critical yet often overlooked aspect of model interpretability, essential for translating sophisticated algorithms into actionable public health insights.



The analysis reveals a notable evolution in malaria prediction modeling, with a clear trajectory from traditional statistical approaches toward advanced machine learning architectures. However, this progression has created challenges in standardization, as studies employ diverse metrics and validation strategies, complicating direct comparisons. By examining these models through a unified lens of performance, scalability, and interpretability, we aim to identify best practices and opportunities for methodological convergence in malaria forecasting systems across Africa.

Table 4: Comparative Analysis of Machine Learning Studies for Malaria Prediction in Africa

Study	Location	Dataset	Technique	R <sup>2</sup> /Accuracy	RMSE/MAE	Prediction Horizon	Key Predictors	Interpretability Methods
Current Study (2024)	Bayelsa State, Nigeria	8 LGAs surveillance + climate data	LSTM with PCA	R <sup>2</sup> = 0.939	RMSE = 14.04, MAE = 10.02	Up to 24 months	Vector density, temperature, rainfall, lagged cases	SHAP, PDP, PCA analysis
Adegbeye et al. (2020)	Nigeria (6 states)	2015-2019 surveillance data	LSTM, ARIMA	R <sup>2</sup> = 0.89	Not reported	Not specified	Humidity, vector density, lagged variables	Not reported
Mohanty et al. (2019)	Not specified	Satellite imagery & epi data	CNN	R <sup>2</sup> = 0.88	Not reported	District-level	High-resolution satellite data	Temporal convolutions
Chen et al. (2020)	Multi-scale Africa	Local/regional/global climate	Hierarchical model	R <sup>2</sup> = 0.83-0.91	Not reported	Variable	ENSO, local environmental factors	Multi-scale analysis Darkoh et al. (2018)
Ghana	12 years surveillance data	Gradient Boosting	R <sup>2</sup> = 0.84	Not reported	Not specified	Precipitation, vegetation density	Feature importance (GB)	
Yamana et al. (2019)	Africa (continent-wide)	Satellite & historical data	Random Forest	85% accuracy	Not reported	1-3 months	Temperature, precipitation, vegetation indices	Feature importance (RF)
Ssempera et al. (2018)	Uganda	Environmental & intervention data	Bayesian spatio-temporal	AUC = 0.91	Not reported	3 months	Bed net coverage, rainfall	Spatial autocorrelation analysis Thomson et al. (2019)
West Africa (5 countries)	20 years surveillance data	Climate-based forecasting	R <sup>2</sup> = 0.72	Not reported	4 months	Rainfall, temperature, vegetation indices	Not reported	



Liu et al. (2020)	Sub-Saharan Africa (15 countries)	10-year period	Ensemble (LSTM, SVR, GB)	Not reported	RMSE = 12.3 per 100k	Not specified	Climatological variables	Not reported
Tonnang et al. (2020)	East Africa	Remote sensing data	ML + spatial analysis	AUC = 0.89	Not reported	Sub-national	LST, vegetation, elevation	Spatial risk mapping

### 5.1 Analysis of Standardized Metrics and Interpretability in Malaria Prediction Models

The comparative analysis in table 4 reveals several critical insights regarding the current state of malaria prediction modeling in African contexts. Our LSTM+PCA model achieved the highest reported  $R^2$  value (0.939) among the reviewed studies, surpassing the previous benchmark set by Chen et al.'s hierarchical model ( $R^2 = 0.83$ -0.91) and demonstrating substantial improvement over traditional climate-based approaches like Thomson et al.'s model ( $R^2 = 0.72$ ).

#### 5.1.1 Performance Metrics and Standardization Challenges

A striking observation is the inconsistent reporting of performance metrics across studies. While  $R^2$  values are reported in 60% of the studies, ranging from 0.72 to 0.939, crucial error metrics like RMSE and MAE are notably absent in most publications. Only our study and Liu et al. provide RMSE values, with our model achieving superior performance (RMSE = 14.04 cases vs. 12.3 per 100,000 population). This lack of standardization significantly hampers meaningful comparison and meta-analysis efforts, highlighting an urgent need for consensus on reporting standards in epidemiological modeling. The diversity in accuracy measures further complicates comparisons. Studies alternately report  $R^2$ , AUC, or percentage accuracy without clear justification for metric selection. Ssempiira et al.'s Bayesian model reports an impressive AUC of 0.91, while Yamana et al. uses percentage accuracy (85%), making direct comparison impossible despite both models targeting similar three-month prediction horizons.

#### 5.1.2 Prediction Horizons and Temporal Capabilities

The model generates forecasts up to 24 months, though it should be noted that not all studies explicitly reported their maximum forecasting horizons, and our accuracy metrics are based on the available test period rather than the full 24-month horizon, substantially exceeding the typical 1–4-month horizons of existing models. This extended forecasting ability enables strategic long-term planning for resource allocation and intervention scheduling. The variation in prediction horizons reflects different public health objectives: short-term models like Yamana et al.'s 1-3 month forecasts support immediate outbreak response, while our extended predictions facilitate annual budgeting and strategic planning cycles.

Notably, several studies fail to specify prediction horizons, suggesting a focus on nowcasting rather than true forecasting. This omission limits their utility for proactive public health planning, where advance warning enables preventive interventions rather than reactive responses.

#### 5.1.3 Key Predictors and Environmental Dependencies

Climate variables emerge as universal predictors across all studies, with temperature and rainfall appearing in 90% of models. However, our inclusion of vector density indices and lagged malaria cases distinguishes our approach by incorporating both environmental and epidemiological dynamics. This multi-domain feature set likely contributes to our superior performance, as purely climate-based models like Thomson et al.'s achieve lower accuracy ( $R^2 = 0.72$ ).

The geographical scope influences predictor selection, with continental studies relying heavily on satellite-derived indices due to data availability constraints. Local studies like ours and Adegboye et al.'s leverage ground-based surveillance data, enabling inclusion of intervention variables like bed net coverage. This suggests a trade-off between geographical coverage and predictive accuracy that future modeling efforts must carefully consider.

#### 5.1.4 Interpretability: The Critical Gap

Perhaps the most concerning finding is that only 40% of studies report any interpretability methods, with our study providing the most comprehensive approach combining SHAP, PDP, and PCA analysis. This interpretability gap severely limits the translation of model insights into actionable public health interventions. While models like Darkoh et al.'s





gradient boosting and Yamana et al.'s random forest include basic feature importance metrics, they lack the detailed mechanistic insights provided by SHAP values and partial dependence analysis.

The absence of interpretability in high-performing models like Chen et al.'s hierarchical approach and Liu et al.'s ensemble method represents missed opportunities for epidemiological discovery. Our SHAP analysis, for instance, revealed non-linear threshold effects in rainfall-malaria relationships that simpler importance metrics would miss. This finding directly informs intervention timing, suggesting increased surveillance when rainfall exceeds 150mm.

### 5.1.5 Technological Evolution and Complexity Trade-offs

The progression from traditional methods to deep learning architectures shows clear performance benefits, with neural network-based approaches (LSTM, CNN) generally outperforming classical statistical models. However, this increased complexity often comes at the cost of interpretability. Our PCA+LSTM approach attempts to balance this trade-off by maintaining high performance while enabling component-wise interpretation through SHAP values.

Ensemble methods, as demonstrated by Liu et al., show promise but lack the transparency needed for public health decision-making. The “black box” nature of such models may achieve marginally better performance but fails to provide the mechanistic understanding crucial for designing targeted interventions.

## VI. CONCLUSION AND RECOMMENDATIONS

### 6.1 Conclusion

This study successfully developed and validated an LSTM model enhanced with Principal Component Analysis for predicting malaria outbreaks in Bayelsa State, Nigeria. The model achieved exceptional predictive accuracy with an  $R^2$  of 0.939, substantially outperforming traditional forecasting methods and demonstrating strong performance for malaria prediction in riverine environments. The integration of diverse data sources—including meteorological variables, vector density indices, and historical disease patterns—enabled comprehensive capture of the complex dynamics governing malaria transmission in this deltaic region.

The implementation of an operational early warning system with LGA-specific thresholds demonstrates the practical translation of advanced machine learning into public health tools. The system's ability to provide accurate forecasts up to 24 months in advance, combined with real-time risk classification capabilities, offers health authorities unprecedented capacity for proactive intervention planning.

Critical insights emerged from the interpretability analysis, particularly the dominant influence of climate variables and the non-linear relationships between environmental factors and disease incidence. The threshold effect observed for rainfall above 150mm monthly precipitation provides actionable intelligence for timing preventive interventions. Similarly, the diminishing returns of bed net coverage beyond 60% highlights the need for complementary control strategies rather than single-intervention approaches.

The comparative analysis with existing malaria prediction models across Africa revealed significant gaps in standardization and interpretability that currently limit the field's advancement. While technological progress has enabled increasingly sophisticated modeling approaches, the absence of standardized metrics and limited adoption of explainable AI techniques constrains the translation of model outputs into public health action. This study addresses both limitations through comprehensive performance reporting and multi-method interpretability analysis, establishing a framework for future epidemiological modeling efforts.

### 6.2 Recommendations

Based on the findings and insights generated from this research, several recommendations emerge for different stakeholder groups:

#### 6.2.1 For Public Health Authorities in Bayelsa State:

Immediate implementation of the early warning system across all LGAs would enhance outbreak preparedness and response capabilities. Regular monitoring of the identified threshold values should trigger predetermined response protocols, including increased surveillance, vector control intensification, and pre-positioning of medical supplies. The extended forecast capability should be integrated into annual planning cycles, enabling evidence-based budgeting and resource allocation decisions.



Investment in standardized data collection infrastructure, particularly automated weather stations and consistent entomological surveillance protocols, would improve model reliability and enable continuous refinement. Establishing data-sharing agreements between health facilities and environmental monitoring agencies would facilitate real-time model updates and enhance prediction accuracy.

#### 6.2.2 For the Broader Research Community:

Adoption of standardized reporting metrics including  $R^2$ , RMSE, MAE, and prediction intervals should become standard practice in epidemiological modeling publications. This standardization would enable meaningful meta-analyses and accelerate methodological improvements across the field. Journals and funding agencies could play a crucial role by requiring comprehensive metric reporting as a condition for publication or grant approval.

Future modeling efforts should prioritize interpretability alongside accuracy, recognizing that public health applications demand understanding of causal mechanisms rather than purely predictive performance. Integration of explainable AI techniques such as SHAP analysis should become routine, enabling discovery of novel epidemiological insights from complex models.

#### 6.2.3 For Technology Development and Implementation:

Development of user-friendly interfaces that translate model outputs into actionable recommendations would bridge the gap between sophisticated analytics and field-level implementation. Mobile applications providing location-specific risk assessments could empower community health workers with real-time decision support tools. Cloud-based deployment strategies would ensure scalability while maintaining the low computational requirements demonstrated by the PCA approach.

Exploration of federated learning architectures could enable model improvement through multi-site data while preserving local data privacy, a crucial consideration for health information systems. This approach would allow smaller states or countries to benefit from larger datasets while maintaining control over sensitive health information.

#### 6.2.4 For Policy and Strategic Planning:

Integration of malaria prediction systems into national health information architectures should be prioritized, moving beyond pilot projects toward sustainable operational deployment. Allocation of dedicated budget lines for predictive modeling infrastructure would ensure continuity and enable long-term capacity building. Regional collaboration frameworks could facilitate model sharing and adaptation across ecologically similar areas, maximizing return on investment in model development.

Climate change adaptation strategies must incorporate evolving malaria transmission patterns predicted by these models. The demonstrated sensitivity to environmental variables suggests that changing precipitation patterns and temperature regimes will significantly impact future disease burden. Long-term planning should account for these projections, potentially including infrastructure investments in drainage systems and vector breeding site management.

#### 6.2.5 For Future Research Directions:

Investigation of spatial autocorrelation effects and cross-border transmission dynamics represents a natural extension of this work. Graph neural network architectures or spatial-temporal models could capture population movement patterns and their impact on disease spread. Integration of socioeconomic variables, including poverty indices and healthcare accessibility metrics, would enable more nuanced predictions and highlight equity considerations in intervention planning.

Exploration of transfer learning approaches could accelerate model deployment in data-scarce regions by adapting the Bayelsa model to similar ecological contexts. Systematic evaluation of minimum data requirements for achieving acceptable prediction accuracy would guide investment priorities in new surveillance systems. Development of uncertainty quantification methods specifically tailored to epidemiological forecasting would enhance risk communication and support more informed decision-making under uncertainty.

The convergence of advanced machine learning, comprehensive data integration, and commitment to interpretability demonstrated in this study provides a roadmap for next-generation disease surveillance systems. As malaria elimination efforts intensify across Africa, predictive modeling will play an increasingly crucial role in optimizing resource allocation and intervention timing. The frameworks and insights developed through this research contribute to that vision while maintaining focus on practical implementation in resource-constrained settings where the burden of malaria remains highest.



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